

## MONTHLY FINANCIAL REPORT FOR INDIVIDUAL(S) IN BUSINESS

Case No. **09-10188-SJS**

Report Month/Year **4 / 2010**

Debtor **LAWRENCE KATES**

**GENERAL INSTRUCTIONS:** This report is designed for individuals operating a business. It has two sections:

- SECTION 1 (UST Forms 12-17) is for reporting business operations only (excluding real estate), and
- SECTION 2 (UST Forms 21-24) is for reporting real estate and non-business activities.

Both sections and all UST forms in this package must be completed. If you have questions about how to report your financial activities within the two sections of the report, please contact the Bankruptcy Analyst assigned to your case at (206) 553-2000. Replacement forms, if needed, are available at: [http://www.usdoj.gov/ust/r18/s\\_library.htm](http://www.usdoj.gov/ust/r18/s_library.htm)

### SECTION 1: BUSINESS OPERATIONS

☒ This report includes:

		Yes	No
UST-12	<b>Comparative Balance Sheet</b> , or debtor's balance sheet. If the debtor's balance sheet is used it <u>must include a breakdown of pre- and post-petition liabilities</u> . The breakdown may be provided in an attachment to the debtor's balance sheet.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
UST-13	<b>Comparative Income Statement</b> , or debtor's income statement.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
UST-14	<b>Summary of Disbursements</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
UST-14 Continuation Sheets	<b>Detailed Statement(s) of Cash Receipts and Disbursements</b> A Continuation Sheet shall be completed for each bank account and shall include a copy of the monthly bank statement and a detailed list of receipts and disbursements for that account.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
UST-15	<b>Statement of Aged Receivables</b> A detailed accounting of aged receivables shall be provided on, or in an attachment to, UST-15.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
UST-16	<b>Statement of Aged Post-Petition Payables</b> A detailed accounting of aged post-petition payables shall be provided on, or in an attachment to, UST-16.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
UST-17	<b>Other Business Disclosures</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Debtor **LAWRENCE KATES**

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**SECTION 2: REAL ESTATE AND NON-BUSINESS ACTIVITIES**

This report includes:

		Yes	No
<b>UST-21</b>	<b>Statement of Financial Condition</b> Use UST-21 to report real estate and non-business assets & liabilities not reported in SECTION 1. This would include your residence, rental real estate, personal accounts and investments vehicles, and any personal liabilities such as mortgages, credit card accounts and personal guarantees.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>UST-22</b>	<b>Summary of Real Estate Cash Flow</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>UST-22</b> Continuation Sheets	<b>Summary of Real Estate Cash Flow</b> Attach a copy of monthly bank statement for each relevant bank account. For any real property sold during the reporting month, attach a copy of the escrow statement.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>UST-23</b>	<b>Summary of Non-Business Financial Activity</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>UST-23</b> Continuation Sheets	<b>Detail of Non-Business Financial Activity</b> A Continuation Sheet shall be completed for each personal account and shall include a copy of the monthly bank statement and supporting documents as described in the instructions.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>UST-24</b>	<b>Other Financial Disclosures</b> If any non-business assets were sold or transferred during the reporting month, attach a copy of the escrow closing statement or similar documentation that shows the gross sale proceeds, deductions from the gross proceeds, and net funds paid to the estate. For any modification or renewal of insurance coverage, include a copy of the current certificate of insurance.	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**DEBTOR'S CERTIFICATION**

For purposes of calculating my obligation under 28 U.S.C. 1130(a)(6) to pay a quarterly fee to the United States Trustee, my disbursement total for this month is:

Disbursements from Business Operations per <b>UST-14</b> (page 6)	1.	-
Real Estate Expenditures & Sales per <b>UST-22</b> (page 12)	2.	12,676
Proceeds Disbursed from Sale of Non-Business Assets per <b>UST-24</b> Question 2.1 (page 14)	3.	-
Other Disbursements <b>UST-24</b> Question 2.6 (page 16)	4.	-
Non-Business Expenditures <b>UST-23</b> (page 13)	5.	29,027
<b>Total Disbursements This Month</b>		<b>41,703</b>

I certify under penalty of perjury that the information contained in this monthly financial report is complete, true, and accurate to the best of my knowledge, information, and belief. (NOTE: The debtor, or trustee if appointed, must sign this report. Debtor's counsel may not sign the report for the debtor.)

Signature(s): /s/ Lawrence Kates Date: 5-15-10

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Debtor LAWRENCE KATES

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UST-12, COMPARATIVE BALANCE SHEET - BUSINESS ONLY
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**INSTRUCTIONS:** Include only business-related assets and liabilities on this balance sheet. The debtor's real estate and non-business activities will be reported in **SECTION 2** (page11).

As of month ending -->	04/30/2010		
<b>BUSINESS ASSETS</b>			
Current Assets			
Cash	36,424		
Cash held by third party (attorney or escrow)	50,000		
Accounts Receivable (net)	-		
Notes Receivable	-		
Inventory	-		
Prepaid Expenses	-		
Other (attach list) SEE ATTACHED	353,497		
Total Current Assets	439,921		
Fixed Assets			
Real Property/Buildings	194,265		
Equipment	1,089,106		
Accumulated Depreciation	(860,687)		
Total Fixed Assets	422,684		
Other Assets (attach list)			
<b>TOTAL BUSINESS ASSETS</b>	<b>862,605</b>		
<b>BUSINESS LIABILITIES</b>			
Post-Petition Liabilities			
Taxes Payable			
Other Accounts Payables			
Notes Payable			
Rents, Leases & Mortgage Payable			
Accrued Interest			
Other (specify) SEE ATTACHED	83,179		
Total Post-Petition Liabilities	83,179	-	-

(continued on next page)

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**ATTACHMENT TO UST-12**

**Other Current Assets**

Other Current Assets	Balance
Loan Rec-Baymeadows Golf	91
Loan Rec-Center Bay Mgmt	5,000
Loan Rec-Murrayhill Woods	10,000
Loan Rec-Hillside Balboa	2,937
Loan Rec-Hillside Lakeshores	500
Loan Rec-North Region Corp	139,338
Loan Rec-Mercer Property	18,690
Loan Rec-Marine Drive Property	291
Loan Rec-Pavilion Inn	6,000
Loan Rec-Bayside Square	25,000
Loan Rec-Utah Buildings	5,650
Loan Rec-Townhomes With a View	140,000
<b>TOTAL</b>	<b>353,497</b>

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<b>ATTACHMENT TO UST-12</b>
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**Post Petition Liabilities**

Post Petition Liabilities	Balance
Loan Pay-Kirkland Springs	5,000
Loan Pay-Utah Pet Center	60,000
Loan Pay-Townhomes Westlake Village	5,000
Loan Pay-Wireless Detection	13,179
<b>TOTAL</b>	<b><u>83,179</u></b>

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## UST-12, COMPARATIVE BALANCE SHEET - BUSINESS ONLY

As of month ending -->	04/30/2010		
Pre-Petition Liabilities			
Unsecured Debt	-		
Priority Debt	-		
Taxes	-		
Wages	-		
Deposits	-		
Other SEE ATTACHED	40,250		
Notes Payable (Secured Debt)	-		
Total Pre-Petition Liabilities	40,250		
TOTAL BUSINESS LIABILITIES	123,429		
BUSINESS NET WORTH (TOTAL ASSETS MINUS TOTAL LIABILITIES)	739,176		

**Footnotes to balance sheet:**

Debtor has conducted a business under the name of Hillside Investment. The purpose of this business is to fund any short falls in cash available to entities owned by Debtor in order to protect and maintain the real and personal property owned by such entities. The funds provided by Hillside Investment are booked as a loan receivable by Hillside Investment and a loan payable by the relevant entity. Hillside Investment also paid other minor business expenses not particularly related to a property or entity.

During May 2009, Hillside ceased conducting this business.

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Debtor **LAWRENCE KATES**

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<b>ATTACHMENT TO UST-12</b>
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**Pre-Petition Liabilities**

Post Petition Liabilities	Balance
Loan Pay-Utah Pet Center	40,000
Loan Pay-Wireless Detection	250

**TOTAL** 40,250

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## UST-13, COMPARATIVE INCOME STATEMENT - BUSINESS ONLY

For the month of -->	04/30/2010		
GROSS SALES	-		
Less: Returns and Allowances	-		
Net Sales	-		
Cost of Sales:			
Beginning Inventory	-		
Add: Purchases	-		
Less: Ending Inventory	-		
Cost of Goods Sold	-		
GROSS MARGIN	-		
Other Operating Expenses:			
Officers' Salaries	-		
Other Salaries/Direct Labor	-		
Employee Benefits/Payroll Taxes	-		
Insurance	-		
Rent	-		
General & Administrative	-		
NET OPERATING PROFIT (LOSS)	-		
Add: Other Income	-		
Less: Interest Expense	-		
Other Adjustments to Income (Explain)	-		
Gain (Loss) on Sale of Assets	-		
Net Profit (Loss) Before Taxes	-		
Income Taxes	-		
NET PROFIT (LOSS)	-		

Notes:



Debtor LAWRENCE KATES

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**UST-14, SUMMARY OF DISBURSEMENTS - BUSINESS ONLY**

**INSTRUCTIONS:** Before completing this page, prepare a UST-14 CONTINUATION SHEET (next page) for each business account. The disbursement total from each CONTINUATION SHEET will be used to complete this SUMMARY OF DISBURSEMENTS.

The term "disbursements" means all payments made for the benefit of the bankruptcy estate, regardless of whether the debtor or another party on behalf of the debtor made the payments. "Disbursements" includes payments by check or cash for cost of goods sold, equipment purchases, payroll and payroll-related taxes and expenses, leases, other operating costs, and debt reduction. It also includes payments made pursuant to joint check arrangements and those resulting from a sale or liquidation of the debtor's assets. The only transactions normally excluded from "disbursements" are transfers from one account to another within the same reporting month.

If you have questions about how to compute your disbursement total, call the Bankruptcy Analyst assigned to your case at (206) 553-2000.

**DISBURSEMENTS FROM BUSINESS OPERATIONS**

Total disbursements from Continuation Sheet(s)	-
Cash payments not included in total above (if any)	-
Disbursements made by another party for the debtor (if any, explain)	-
<b>TOTAL DISBURSEMENTS</b>	-
	<p><b>**** IMPORTANT ****</b></p> <p>Transfer the disbursement total above to <u>Line of Debtor's Certification - Cover Sheet</u> (page 2)</p>

(UST-14 CONTINUATION SHEETS, with attachments, should follow this page.)

Debtor LAWRENCE KATES

**UST-14, CONTINUATION SHEET**  
**STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS**

**INSTRUCTIONS:** Prepare a CONTINUATION SHEET for each bank account and attach supporting documents as indicated on the checklist below.

Depository (bank) name ~~~~>	<b>Bank of the West</b>																
Account number ~~~~>	<b>736-010851</b>																
Purpose of this account (select one): <div style="display: flex; align-items: flex-start;"> <div style="margin-right: 10px;"> <input checked="checked" type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/> </div> <div>             Business general account              Business payroll account              Business tax deposit account (payroll, sales, gambling, or other taxes)              Other (explain)           </div> </div>																	
Beginning cash balance		36,423.81															
Add: Transfers in from other estate bank accounts		-															
Cash receipts deposited to this account		-															
Financing or other loaned funds (identify source)		-															
Total cash available this month		36,423.81															
Subtract: Transfers out to other estate bank accounts		-															
<b>Cash disbursements</b> from this account (total checks written plus cash withdrawals, if any)		-															
Adjustments, if any (explain)		-															
Ending cash balance		36,423.81															
<b>IMPORTANT:</b> Attach the following supporting documents: <table style="width: 100%; margin-top: 10px;"> <thead> <tr> <th style="width: 70%;"></th> <th style="width: 10%; text-align: center;">Yes</th> <th style="width: 20%; text-align: center;">No</th> </tr> </thead> <tbody> <tr> <td>A monthly bank statement (or trust account statement);</td> <td style="text-align: center;"><input checked="checked" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>A detailed list of receipts for that account (deposit log or receipts journal);</td> <td style="text-align: center;"><input checked="checked" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>A detailed list of disbursements for that account (check register or disbursement journal); and,</td> <td style="text-align: center;"><input checked="checked" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>If applicable, a detailed statement of funds received or disbursed by another party for the debtor.</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="checked" type="checkbox"/></td> </tr> </tbody> </table>				Yes	No	A monthly bank statement (or trust account statement);	<input checked="checked" type="checkbox"/>	<input type="checkbox"/>	A detailed list of receipts for that account (deposit log or receipts journal);	<input checked="checked" type="checkbox"/>	<input type="checkbox"/>	A detailed list of disbursements for that account (check register or disbursement journal); and,	<input checked="checked" type="checkbox"/>	<input type="checkbox"/>	If applicable, a detailed statement of funds received or disbursed by another party for the debtor.	<input type="checkbox"/>	<input checked="checked" type="checkbox"/>
	Yes	No															
A monthly bank statement (or trust account statement);	<input checked="checked" type="checkbox"/>	<input type="checkbox"/>															
A detailed list of receipts for that account (deposit log or receipts journal);	<input checked="checked" type="checkbox"/>	<input type="checkbox"/>															
A detailed list of disbursements for that account (check register or disbursement journal); and,	<input checked="checked" type="checkbox"/>	<input type="checkbox"/>															
If applicable, a detailed statement of funds received or disbursed by another party for the debtor.	<input type="checkbox"/>	<input checked="checked" type="checkbox"/>															

UST-14 FINANCIAL ACCOUNT DETAIL, Number \_\_\_\_\_ of \_\_\_\_\_



# STATEMENT

LOS ANGELES REID I  
300 SOUTH GRAND AVE 13TH FL  
LOS ANGELES CA 90071 888-727-2692

00736

PAGE 1 OF 1

HILLSIDE INVESTMENT  
C/O WOOD RIVER MGMT CORP  
DEBTOR IN POSSESSION CASE #09-10188  
1111 BAYSIDE DRIVE SUITE #111  
CORONA DEL MAR CA 92625 1762

STATEMENT PERIOD  
04/01/10 THROUGH 04/30/10

736-010851

0 ITEMS ENCLOSED

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ONLINE STATEMENTS PROVIDE A FAST, SAFE, AND CONVENIENT WAY  
TO RECEIVE YOUR MONTHLY STATEMENTS. ENROLL IN ONLINE BANKING  
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REGULAR BUSINESS CHECKING ACCOUNT NUMBER 736-010851

BEGINNING BALANCE.....	36,423.81	AVERAGE DAILY BALANCE.....	36,423.00
0 DEPOSITS.....	0.00	LOW BALANCE.....	36,423.81
0 CREDITS.....	0.00	YEAR-TO-DATE INTEREST PAID.....	0.00
0 WITHDRAWALS.....	0.00	YEAR-TO-DATE TAX WITHHELD.....	0.00
0 CHECKS.....	0.00	ANNUAL PERCENTAGE YIELD EARNED.....	0.00
ENDING BALANCE.....	36,423.81	INTEREST ACCRUED THIS STATEMENT.....	0.00



For Your Protection: Please examine this statement and report any discrepancy within 30 days.

MEMBER FDIC

**Deposit Register**  
bw91001 - Hillside Investment  
April 2010

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12:23 PM

<u>Number</u>	<u>Date</u>	<u>Memo</u>	<u>Amount</u>
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**Check Register**  
**bw91001 - Hillside Investment**  
**April 2010**

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5/13/2010  
12:23 PM

Chk Ctrl# Inv Ctrl#	Check Nu Inv Num	Not Rcn	Chk Date Inv Date	Post Mnth	Bank Exp Acct	Pavee Ppty	Pavee Name Account Name	Amount	Notes
304141 450087	97734 42310/01	X	04/27/10 04/23/10	04/10	bw91001	far253c	FARMERS INSURA	858.72	Voided 269 Marine Drive. Pt. Rob #92252-02-
								858.72	
304192	97734	X	04/28/10	04/10	bw91001	far253c	FARMERS INSURA	-858.72	Voider
								-858.72	

Debtor LAWRENCE KATES

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UST-15, STATEMENT OF AGED RECEIVABLES-BUSINESS ONLY
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**ACCOUNTS RECEIVABLE AGING**

	Balance at month end	Current portion	Past due 31-60 days	Past due 61-90 days	Past due over 90 days	Uncollectible receivables
Pre-petition receivables	n/a					
Pre-petition receivables	n/a					
TOTALS	n/a					

Explain what efforts the debtor made during this reporting month to collect receivables over 60 days past due

**ACCOUNTS RECEIVABLE RECONCILIATION**

Closing balance from prior month	n/a
New accounts receivable added this month	n/a
Subtotal	n/a
Less accounts receivable collected	n/a
Closing balance for current month	n/a

Debtor LAWRENCE KATES

Report Mo/Yr → 04/2010

<b>UST-16, STATEMENT OF POST-PETITION PAYABLES-BUSINESS ONLY</b> <b>PART A - TAXES</b>
---

**RECONCILIATION OF UNPAID (CURRENT & DELINQUENT) POST-PETITION TAXES**

	(1) Unpaid post-petition taxes from prior reporting month	(2) Post-petition taxes accrued this month (new obligations)	(3) Post-petition tax payments made this reporting month	(4) Unpaid post-petition taxes at end of reporting month (Column 1+2+3=4)
Employee withholding taxes				-
FICA/Medicare-Employee				-
FICA/Medicare-Employer				-
Unemployment				-

## State Taxes

Dept. of Revenue				-
Dept. of Labor & Industries				-
Empl. Security Dept.				-

## Other Taxes

Local city/county				-
Gambling				-
Personal property				-
Real property				-
Other				-

Total Unpaid Post-Petition Taxes \$ -

**DELINQUENT TAX REPORTS AND TAX PAYMENTS**

Taxing agency & type	Tax reporting period	Report due date	Payment due date	Amount due
n/a	n/a	n/a	n/a	n/a

Explain the reason for any delinquent tax reports or tax payments:

--

Debtor **LAWRENCE KATES**

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**UST-16, STATEMENT OF POST-PETITION PAYABLES - BUSINESS ONLY**  
**PART B - OTHER PAYABLES**

**RECONCILIATION OF POST-PETITION PAYABLES** (exclude taxes and professional fees)

Closing balance from prior month	n/a
New payables added this month	n/a
Subtotal	n/a
Less payments made this month	n/a
Closing balance for this reporting month	\$ n/a

**BREAKDOWN OF CLOSING BALANCE BY AGE**

Current portion	n/a
Past due 1-30 days	n/a
Past due 31-60 days	n/a
Past due 61-90 days	n/a
Past due over 90 days	n/a
Total	\$ n/a

For accounts payable more than 30 days past due, explain why payment has not been made:**DELINQUENT POST-PETITION PAYABLES**

**Instructions:** Exclude taxes reported in Part A and estimated professional fees (which will be reported later in SECTION 2). List each post-petition payable delinquent more than 30 days and attach additional sheets if necessary).

Vendor name	Invoice date	Invoice amount	Payment due date
n/a	n/a	n/a	n/a



Debtor **LAWRENCE KATES**

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**UST-17, STATEMENT OF OPERATIONS - BUSINESS ONLY****QUESTION 1.1 - SALE OF BUSINESS ASSETS OUT OF THE ORDINARY COURSE**

Did you, or another party on your behalf, sell, transfer, or otherwise dispose of any business assets during the reporting month? Exclude the sale of inventory in the ordinary course and the sale of real property held for investment (to be reported in SECTION 2). If yes, list the items. Yes ☐ No ☒

Asset Description	Gross sale amount	Net sale proceeds	Proceeds disbursed (gross minus net)	Location of net proceeds
1.	-	-	-	
2.	-	-	-	
3.	-	-	-	
4.	-	-	-	
Totals	-	-	-	

**QUESTION 1.2 - BUSINESS FINANCING** During the reporting month, did the business receive funds from any outside funding source? If yes, list each receipt here. Yes ☐ No ☒

Date of Court Approval	Amount	Source of Funds	Date Received
Total			

**QUESTION 1.3 - PERSONAL WITHDRAWALS FROM THE BUSINESS** Did you withdraw funds from the business during this reporting month for your personal expenditures? Yes ☐ No ☒

If "Yes", list each individual

Payee's name	Nature of payment (wages, salary, draw, or other purpose)	Payment date	Payment amount

**QUESTION 1.4 - PERSONNEL CHANGES** Complete the following:

	Full-Time	Part-Time
Number of employees of beginning of month	0	0
Employees added	0	0
Employees resigned/terminated	0	0
Number of employees at the end of month	0	0
<b>Gross Monthly Payroll and Taxes</b>		0

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Report Mo/Yr -----&gt; 04/2010

**SECTION 2: REAL ESTATE AND NON-BUSINESS ACTIVITIES****UST-21, STATEMENT OF FINANCIAL CONDITION**

**INSTRUCTIONS:** This personal "balance sheet" is not intended to follow standard accounting principles. For funds held in financial institutions or brokerages, show market value at month-end. For other assets, show the value listed on Schedule A & B of your bankruptcy petition, or use the current value. Attach any footnotes or explanations to this page. Do not include any business assets or liabilities reported in SECTION 1 (page 3).

As of month ending -->	04/30/2010		
<b>ASSETS</b>			
Cash	5,000		
Checking Account(s) SEE ATTACHED	213,858		
Saving Account(s)	-		
Investment/Brokerage Account(s)	-		
IRA/Retirement Account(s)	-		
Cash held by 3rd Party SEE ATTACHED	3,002,619		
Remaining Personal Property (per Schedule B but excluding all accounts listed above)	53,289,249		
Real Property (Schedule A)	17,320,911		
1. SEE ATTACHED			
2.			
3.			
(List any sales on UST 24 - Part A) Attach additional pages as needed			
Other Assets (list all assets not included above, including assets acquired postpetition, if any)	166,810		
<b>TOTAL ASSETS</b>	<b>73,998,447</b>		
<b>LIABILITIES</b>			
Pre-Petition Liabilities			
Secured Debt (Schedule D)	1,630,141		
Priority Unsecured Debt (Schedule E)	-		
Unsecured Debt (Schedule F)	35,402,208		
Total Pre-Petition Liabilities	37,032,349		
Post-Petition Liabilities			
Mortgage/Rent Payments Due	-		
Other Secured Debt	-		
Unpaid Real Property Taxes	-		
Other Unpaid Taxes (specify)	-		
Other Unpaid Debts (specify) SEE ATTACHED	5,031		
Total Post-Petition Liabilities	5,031		
<b>TOTAL LIABILITIES</b>	<b>37,037,380</b>		
<b>NET WORTH (TOTAL ASSETS MINUS TOTAL LIABILITIES)</b>	<b>36,961,067</b>		

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Debtor **LAWRENCE KATES**

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<b>ATTACHMENT TO UST-21</b>
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**Summary of Checking Accounts**

(in US Dollars)

Bank of the West	736-006503	7,363.24
Bank of the West	736-003500	3,187.70
Banner Bank	3903149317	11,249.47
CIBC	77-20335	9,994.07
HSBC	1687704510	2,440.23
North Shore Credit Union	88054	137,082.70
Royal Bank	00010-5604376	20,300.56
Scotia Bank	82990 01371 89	9,993.61
Sterling Savings Bank	59998135388	<u>12,246.28</u>
		<u><b>213,857.86</b></u>

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<b>ATTACHMENT TO UST-21</b>
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**Cash Held by 3rd Parties**

Description	CAD Value	USD Value
US Cash Held by Graham & Dunn in Trust	N/A	2,920,122.14
Canadian Cash Held by Kenneth R. Burgess Law Corp in Trust	83,454.00	<u>82,497.03</u>
<b>Total Cash Held by 3rd Parties (in US Dollars)</b>		<b><u>3,002,619.17</u></b>

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<b>ATTACHMENT TO UST-21</b>
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**Real Property from Schedule A**

Description and Location of Property			USD Value
5855 Naples Plaza	Long Beach	CA	SOLD 6/26/2009
269 Marine Drive	Point Roberts	WA	1,500,000
180 Swick Road	Kelowna	BC	8,281,574
1243 Chartwell Place	West Vancouver	BC	6,211,180
1262 Chartwell Crescent	West Vancouver	BC	SOLD 3/31/2009
1239 Chartwell Place	West Vancouver	BC	828,157
1212 Chartwell Crescent	West Vancouver	BC	SOLD 2/11/2009
Big White		BC	SOLD 2/12/2010
Vacant land	Reno	NV	500,000

**TOTAL (in US Dollars)** 17,320,911

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Debtor **LAWRENCE KATES**

Report Mo/Yr -----> **04/2010**

<b>ATTACHMENT TO UST-21</b>
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**Other Assets**

Description		CAD Value	USD Value
80002	Loan Rec-North Region Corp.	N/A	87,000
91002	Prepaid Taxes-Federal	N/A	50
91002	Prepaid Taxes-California	N/A	327
91002	Loan Rec-Wireless Detection	N/A	1,735
91002	Loan Rec-Hillside Balboa	N/A	26,799
91010	Loan Rec-Christian Valley Farms	75	74
91010	Loan Rec-Eagle Island Holdings	51,414	50,825

**TOTAL (in US Dollars)** 166,810

Case Number -----> 09-10188-SJS

Debtor LAWRENCE KATES

Report Mo/Yr -----> 04/2010

<b>ATTACHMENT TO UST-21</b>
-----------------------------

**Other Post-Petition Liabilities**

Description		CAD Value	USD Value
80002	Loan Pay-Center Bay Mgmt Corp	N/A	2,037
91002	Loan Pay-Center Bay Mgmt Corp	N/A	1,018
91002	Loan Pay-NR Barcelona LLC	N/A	1,861
91002	Loan Pay-North Region Corp.	N/A	115

**TOTAL (in US Dollars)** 5,031

Debtor **LAWRENCE KATES**

Report Mo/Yr ⇒ 04/2010

**UST-22, SUMMARY OF REAL ESTATE CASH FLOW****RECEIPTS AND EXPENDITURES FOR REAL PROPERTY HELD FOR INVESTMENT**

**Instructions:** Before completing this page, prepare a **UST-22 CONTINUATION SHEET** (next page) to report receipts and expenditures for each property held for investment. Once done, use this page to summarize the receipts and expenditures for all properties and to compute net cash inflow (or outflow).

Total Gross Receipts	0	
Minus Total Cash Expenditures	12,676	<b>*** IMPORTANT ***</b> Transfer the expenditure total (at left) to <b>Line 2</b> of Debtor's Certification <b>Cover Sheet</b> (page 2)
Net Cash Inflow (Outflow)	-12,676	

**SALES OF REAL PROPERTY HELD FOR INVESTMENT**

**Instructions:** Complete the information requested below for each sale of investment property that closed during the reporting month. You must also attach a copy of the escrow statement or similar supporting documents showing the gross sale amount, all payouts made pursuant to the sale (to creditors, real estate agents, etc.) and the net sale proceeds received by the estate.

Property Description & Location	(a) Gross sale amount	(b) Net Sale Proceeds	Disbursements & payoffs from sale (a) - (b)	Location of net proceeds* (Bank & Acct #)
1.				
2.				
3.				
Totals	0	0	0	

\*NOTE: All proceeds should be deposited into collateralized bank accounts. Please contact the Analyst assigned to your case to verify that the U.S. Trustee has received a collateralization certificate for the account holding these funds.

(UST-22 CONTINUATION SHEETS, with attachments, should follow this page)




Debtor **LAWRENCE KATES**

Report Mo/Yr ⇒ 04/2010

**UST-22, REAL ESTATE CASH FLOW  
CONTINUATION SHEET**

**INSTRUCTIONS:** Complete the following statement detailing the cash flow for each parcel of real property held for investment purposes. Exclude your primary residence. When reporting expenditures, do not include depreciation or other non-cash expenses. Copy this page if needed to report additional properties and attach a copy of the monthly bank statements for all relevant accounts.

	(a)	(b)	(c)	
<b>Description and/or location of real property</b>	Naples Plaza Offices- 5855 Naples Plaza	269 Marine Dr. Point Roberts WA	1262 Chartwell Cres. West Vancouver BC Canada	
<b>Bank name/account number (attach bank statements) - attached to UST-23</b>	Bank of the West #736-003500	Bank of the West #736-006503	North Shore Credit Union #88054	
				TOTAL (a) thru (c)
<b>Gross Rents or Receipts</b>	0	0	0	0 **
<b>Cash Expenditures</b>				
Mortgage Payment (P&I)	0	0	0	0
Insurance	0	859	0	859
Property Taxes	0	5,027	0	5,027
Other Expenses				
Maintenance & Repairs	0	0	0	0
Management Fees	0	0	0	0
Cleaning & Supplies	0	0	0	0
Utilities	0	59	0	59
Other-Security Services	0	0	0	0
Administrative Expenses	0	0	0	0
Business License & Permits	0	0	0	0
Carpet Repair	0	0	0	0
Survey, Title & Filing Fees	0	0	0	0
<b>Total Cash Expenditures</b>	0	5,945	0	5,945 **
<b>NET CASH INFLOW (OUTFLOW)</b>	0	-5,945	0	-5,945 **
If this property was sold or foreclosed during this reporting month, enter the date here. 	June 26, 2009		March 31, 2009	


\*\* Transfer these page totals to the Summary on page 12.

Debtor **LAWRENCE KATES**

Report Mo/Yr ⇒ 04/2010

<b>UST-22, REAL ESTATE CASH FLOW CONTINUATION SHEET</b>
---

**INSTRUCTIONS:** Complete the following statement detailing the cash flow for each parcel of real property held for investment purposes. Exclude your primary residence. When reporting expenditures, do not include depreciation or other non-cash expenses. Copy this page if needed to report additional properties and attach a copy of the monthly bank statements for all relevant accounts.

	(d)	(e)	(f)	
<b>Description and/or location of real property</b>	Big White BC Canada	Reno, Nevada Vacant Land	1212 Chartwell Cres. West Vancouver BC Canada	
<b>Bank name/account number (attach bank statements) - attached to UST-23</b>	North Shore Credit Union #88054	Bank of the West #736-006503	North Shore Credit Union #88054	
				TOTAL (d) thru (f)
<b>Gross Rents or Receipts</b>	0	0	0	0 **
<b>Cash Expenditures</b>				
Mortgage Payment (P&I)	0	0	0	0
Insurance	0	0	0	0
Property Taxes	0	0	0	0
Other Expenses				
Maintenance & Repairs	0	0	0	0
Management Fees	0	0	0	0
Cleaning & Supplies	0	0	0	0
Utilities	540	0	0	540
Other (specify)	0	0	0	0
<b>Total Cash Expenditures</b>	540	0	0	540 **
<b>NET CASH INFLOW (OUTFLOW)</b>	-540	0	0	-540 **
If this property was sold or foreclosed during this reporting month, enter the date here. 	February 12, 2010		February 11, 2009	


\*\* Transfer these page totals to the Summary on page 12.

Debtor **LAWRENCE KATES**

Report Mo/Yr ⇒ 04/2010

<b>UST-22, REAL ESTATE CASH FLOW CONTINUATION SHEET</b>
---

**INSTRUCTIONS:** Complete the following statement detailing the cash flow for each parcel of real property held for investment purposes. Exclude your primary residence. When reporting expenditures, do not include depreciation or other non-cash expenses. Copy this page if needed to report additional properties and attach a copy of the monthly bank statements for all relevant accounts.

	(g)	(h)		
<b>Description and/or location of real property</b>	Kelowna Canada	1239 Chartwell Cres. West Vancouver BC Canada		
<b>Bank name/account number (attach bank statements) - attached to UST-23</b>	North Shore Credit Union #88054	North Shore Credit Union #88054		
			<b>TOTAL (g)</b>	
<b>Gross Rents or Receipts</b>	0	0	0	0 **
<b>Cash Expenditures</b>				
Mortgage Payment (P&I)	0	4,678	0	4,678
Insurance	0	0	0	0
Property Taxes	0	0	0	0
Other Expenses				
Maintenance & Repairs	0	0	0	0
Management Fees	0	0	0	0
Cleaning & Supplies	0	0	0	0
Utilities	244	681	0	925
Other-Security Services	0	0	0	0
Equipment Rental	0	0	0	0
Fuel and Oil	0	0	0	0
Carpet Repair	0	0	0	0
Survey, Title & Filing Fees	588	0	0	588
<b>Total Cash Expenditures</b>	832	5,359	0	6,191 **
<b>NET CASH INFLOW (OUTFLOW)</b>	-832	-5,359	0	-6,191 **
If this property was sold or foreclosed during this reporting month, enter the date here. 				

\*\* Transfer these page totals to the Summary on page 12.

Debtor: LAWRENCE KATES

Report Mo/Yr ⇒ 04/2010

<b>UST-23, SUMMARY OF NON-BUSINESS FINANCIAL ACTIVITY</b>
---

**INSTRUCTIONS:** Use this SECTION to report non business receipts and expenditures not otherwise reported on UST-13 and UST-14 (pages 5 & 6), or on UST-22 (page 11).

RECEIPTS	Gross Amount	Net Amount
Wages, Salary or Draws	0	0
Social security, pension, or 401k distributions	1,389	1,389
Collection of personal debt or note payable (specify source)	0	0
Loan on other financing proceeds (specify source)	0	0
Proceeds from sale of personal property	0	0
Other - Money market interest income	1,166	1,166
<b>TOTAL RECEIPTS</b>		<b>2,555</b>
EXPENDITURES		
Mortgage or rental payment	0	0
Real property taxes	0	0
Real property insurance (if not included in mortgage payment)	0	0
Utilities	-118	-118
Home maintenance and repairs	1,737	1,737
Installment payments (vehicles, boats, etc.)	0	0
Food & clothing	8,914	8,914
Entertainment	317	317
Alimony, child support, etc.	0	0
Gambling	0	0
Other (specify) SEE ATTACHMENT	18,177	18,177
<b>TOTAL EXPENDITURES</b>		<b>29,027</b>

**Notes:**

- 1) The amount is converted to US dollar by dividing the Canadian dollar to 1.0116 based on April 30, 2010 exchange rate.
- 2) Starting April 2010 all expenses for 1239 Chartwell Place will no longer be included in Larry's expenses and will be included in UST-22 Schedule (Real Estate Investments).
- 3) The utilities for 1401 Bayside are no longer included in this total expenditures starting April 2010. In April 2010, Larry got reimbursements for all utilities he paid since July 2009.

**ATTACHMENT TO UST-23****Other Expenditures**

<b>Expenditures</b>	<b>Gross Amount</b>	<b>Net Amount</b>
Appraisal Fees	0	0
Auto Repair & Maintenance	0	0
Auto Towing	0	0
Advertising, Gifts & Flowers	0	0
Bankruptcy Admin Expense	0	0
Bank Charges	566	566
Boat Slip Rental	2,592	2,592
Children Expenses	559	559
Dues, Subs, Books & Magazines	2,114	2,114
Gas & Mileage	870	870
Household Expenses	8,482	8,482
Insurance - Other	0	0
Medical & Dental Expenses	508	508
Misc Exp-ATM/Cash Advance	0	0
Parking Expense	68	68
Parking Tickets & Traffic Violations	0	0
Patent & Immigration Fee	0	0
Penalty & Late Charges	115	115
Permit, Licenses & Tax	0	0
Professional Fees - US Trustee	1,950	1,950
Security Services	87	87
Storage Rental	119	119
Survey, Title, & Filing Fees	0	0
Transportation Expense	28	28
Travel Expense	119	119

**TOTAL "OTHER" EXPENDITURES****18,177**

Debtor LAWRENCE KATES

Report Mo/Yr 04/2010

## UST-23, NON-BUSINESS FINANCIAL ACCOUNT DETAIL

**INSTRUCTIONS:** Prepare a UST-23 for each non-business financial or brokerage account and attach a copy of the monthly statement (or most recent quarterly statement if a monthly statement not available for that account).

Depository (bank) name	⇒	<b>Bank of the West</b>
Account number	⇒	<b>736-006503</b>
Type of account: <input checked="checked" type="checkbox"/> Checking <input type="checkbox"/> Savings <input type="checkbox"/> Investment/brokerage <input type="checkbox"/> IRA/retirement <input type="checkbox"/> Funds held in attorney's trust account <input type="checkbox"/> Other (explain) _____		
Beginning cash balance		524.94
Add:	Transfers in from other accounts (from NSCU #8805403)	24,515.72
Loan or financing proceeds deposited to this account (identify source)		-
Other receipts deposited to this account		7,232.78
Total cash available this month		32,273.44
Subtract:	Transfers out to other accounts	-
Payments from this account (all checks written for the month plus cash withdrawals, if any)		24,899.40
Adjustments, if any (explain) April Interest + \$1.20 Wire Fee (\$12.00)		(10.80)
Ending cash balance		7,363.24
<b>IMPORTANT:</b> Attach the following supporting documents:		
A monthly bank statement (or attorney's trust account statement);		Yes No <input checked="checked" type="checkbox"/> <input type="checkbox"/>
If applicable, a detailed statement of funds received or disbursed by another party for the debtor		<input type="checkbox"/> <input checked="checked" type="checkbox"/>

UST-23 FINANCIAL ACCOUNT DETAIL, Number \_\_\_\_\_ of \_\_\_\_\_

UST-23 Continuation Sheet

## BANK OF THE WEST

## STATEMENT

PAGE 1 OF 5

LOS ANGELES REID I  
300 SOUTH GRAND AVE 13TH FL  
LOS ANGELES CA 90071 888-727-2692

00736

STATEMENT PERIOD  
04/01/10 THROUGH 04/30/10

LAWRENCE KATES  
C/O WOODRIVER MGMT CORP  
DEBTOR-IN-POSSESSION CASE #09-10188  
1111 BAYSIDE DRIVE #111  
CORONA DEL MAR CA 92625 1762

736-006503

0 ITEMS ENCLOSED

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CHECKING PLUS INT - SAVINGS ACCOUNT NUMBER 736-006503

BEGINNING BALANCE.....	637.68	AVERAGE DAILY BALANCE.....	14,613.00
1 DEPOSITS.....	7,232.78	LOW BALANCE.....	637.68
2 CREDITS.....	24,516.92	YEAR-TO-DATE INTEREST PAID.....	2.69
1 WITHDRAWALS.....	12.00	YEAR-TO-DATE TAX WITHHELD.....	0.00
15 CHECKS.....	17,146.58	ANNUAL PERCENTAGE YIELD EARNED.....	0.10
ENDING BALANCE.....	15,228.80	INTEREST ACCRUED THIS STATEMENT.....	1.20

## DEPOSITS

DATE.....AMOUNT.....TRANSACTION DESCRIPTION  
04/05 24,515.72 INCOMING WIRE

REFERENCE # 100405003869 WIRE CREDIT  
SENDING BANK REFERENCE # 001713118

04/30 1.20 INTEREST PAID  
DATE.....AMOUNT  
04/29 7,232.78

DATE.....AMOUNT DATE.....AMOUNT

## WITHDRAWALS

DATE.....AMOUNT.....TRANSACTION DESCRIPTION  
04/05 12.00 WIRE IN FEE

REFERENCE # 100405003869 WIRE FEES  
SENDING BANK REFERENCE # 001713118

CHECKS	NUMBER	DATE	AMOUNT	NUMBER	DATE	AMOUNT	NUMBER	DATE	AMOUNT
	85816e	04/15	93.24	85821e	04/26	375.00	85827e	04/28	119.00
	85817e	04/16	19.50	85822e	04/21	2,591.50	85828e	04/27	28.99
	85818e	04/08	3,000.00	85824e*	04/27	25.79	85829e	04/26	29.99
	85819e	04/06	500.00	85825e	04/27	52.03	85830e	04/26	500.00
	85820e	04/06	3,446.63	85826e	04/27	201.00	85831e	04/26	6,163.91

e REPRESENTS ITEMS PRESENTED ELECTRONICALLY, \* REPRESENTS A GAP IN CHECK NUMBERS



For Your Protection: Please examine this statement and report any discrepancy within 30 days.

MEMBER FDIC

**Deposit Register**  
**bw91002 - Lawrence Kates**  
**April 2010**

**Page 1**  
**5/12/2010**  
**03:57 PM**

Number	Date	Memo	Amount			
1850 X	04/05/10	Wire Txf fr ns91010 (Ctrl# 55539)	24,515.72			
Ctrl#	Unit#	Payer	Check# Rcv'd Notes Amount			
468621	----	Lawrence Kates-NSCU	Chk # Wire 04/05/10 Txf fr ns91010 to bw91002	24,515.72	No Chg	24,515.72
		91002 - Lawrence Kates	43000 - CAP CONT CURR YR-L	24,515.72		
1851 X	04/29/10	Reimb expenses fr 91002 (Ctrl# 55685)	7,232.78			
Ctrl#	Unit#	Payer	Check# Rcv'd Notes Amount			
469043	----	North Region Corp.	Chk # 81591 04/29/10 Reimb expenses paid from #91002 f	7,232.78		
		91002 - Lawrence Kates	70160 - ELECTRIC UTILITIES	2,652.74	No Chg	
		91002 - Lawrence Kates	70230 - GARDENING	1,620.00	No Chg	
		91002 - Lawrence Kates	70250 - GAS UTILITIES	91.82	No Chg	
		91002 - Lawrence Kates	70287 - HOUSEHOLD EXPENS	400.00	No Chg	
		91002 - Lawrence Kates	70520 - TELEPHONE UTILITIES	400.75	No Chg	
		91002 - Lawrence Kates	70530 - TELEVISION & CABLE	1,758.69	No Chg	
		91002 - Lawrence Kates	70575 - WATER & SEWER-UTIL	308.78	No Chg	

**Total Deposits                      31,748.50**



**Check Register**  
**bw91002 - Lawrence Kates**  
**April 2010**

Page 1  
5/12/2010  
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Chk Ctrl# Inv Ctrl#	Check Nu Inv Num	Not Rcn	Chk Date Inv Date	Post Mnth	Bank Exp Acct	Payee Ppty	Payee Name Account Name	Amount	Notes
303714 449577	85818 040510 Ban	X	04/05/10 04/05/10	04/10	bw91002	yne000p	Ynez Kates		
					70287	91002	HOUSEHOLD EXP	3,000.00 3,000.00	Household allowance to Banner Bank
303716 449604	85819 10Apr5-436	X	04/05/10 04/05/10	04/10	bw91002	ban301c	Bank of America		**4365 apr 5 pyt
					70420	91002	PENALTY & LATE	30.84	
					70074	91002	CHILDREN EXPEN	320.65	
					70150	91002	DUES, SUBS, BOO	24.56	
					70182	91002	ENTERTAINMENT	40.93	
					70240	91002	GAS & MILEAGE	19.70	
					70203	91002	FOOD & CLOTHIN	63.32	
								500.00	
303717 449548	85820 10Mar22-90	X	04/05/10 03/22/10	04/10	bw91002	ban301c	Bank of America		****9098 Mar 22
					70203	91002	FOOD & CLOTHIN	2,585.38	
					70240	91002	GAS & MILEAGE	218.41	
					75130	91002	TOOLS & EQUIPM	67.32	
					70287	91002	HOUSEHOLD EXP	32.26	
					70330	91002	MEDICAL & DENTA	193.31	
					75015	91002	APPLIANCE REPAI	96.98	
					70420	91002	PENALTY & LATE	23.04	
					70150	91002	DUES, SUBS, BOO	179.79	
					70182	91002	ENTERTAINMENT	34.90	
					70540	91002	TRAVEL EXPENSE	15.24	
								3,446.63	
303813 449597	85821 10Mar31	X	04/08/10 03/31/10	04/10	bw91002	bah160c	Bahia Corinthian Ya		#2473 Kates dues
					70150	91002	DUES, SUBS, BOO	375.00 375.00	
303822 449695	85822 10Apr1	X	04/08/10 04/01/10	04/10	bw91002	poi713f	Point Roberts Marin		B-24 annual dockage
					70044	91002	BOAT SLIP RENTA	2,591.50 2,591.50	
303928 449955	85823 10Apr15-LK		04/15/10 04/15/10	04/10	bw91002	ust700g	U.S. Trustee		Qtr 1 2010 fees (\$278,486 disbursed)
					70460	91002	PROFESSIONAL F	1,950.00 1,950.00	
303942 449874	85824 10Apr7-710	X	04/20/10 04/07/10	04/10	bw91002	att958c	AT & T		530-541-7100 apr 7
					70520	91002	TELEPHONE UTILI	25.79 25.79	
303943 449915	85825 10Apr5-140	X	04/20/10 04/05/10	04/10	bw91002	dis006c	Dish Network		8255909481134424 Apr 5 - 1401 Bays
					70530	91002	TELEVISION & CA	52.03 52.03	
303947 449617	85826 MRECUR-4	X	04/20/10 04/15/10	04/10	bw91002	hum302p	Humana Insurance		Monthly Premium - supplemental medi
					70330	91002	MEDICAL & DENTA	201.00 201.00	
303948 449774	85827 10Apr1	X	04/20/10 04/01/10	04/10	bw91002	roc50wc	Rocking E. Moving		Mar storage
					70506	91002	STORAGE-RENTA	119.00 119.00	
303953 449967	85828 10Apr9-140	X	04/20/10 04/09/10	04/10	bw91002	tim600c	Time Warner Cable		8448400300205197 Apr 9 - 1401 Bays
					70530	91002	TELEVISION & CA	28.99 28.99	

**Check Register**  
**bw91002 - Lawrence Kates**  
**April 2010**

Page 2  
5/12/2010  
03:54 PM

Chk Ctrl# Inv Ctrl#	Check Nu Inv Num	Not Rcn	Chk Date Inv Date	Post Mnth	Bank Exp Acct	Payee Ppty	Payee Name Account Name	Amount	Notes
304054 450045	85829 10Apr14-22	X	04/20/10 04/14/10	04/10	bw91002	dir540c	DirectTV		2679135 Apr 14 - 229 Marine
					70530	91002	TELEVISION & CA	29.99	
								29.99	
304066 450067	85830 10Apr22-43	X	04/22/10 04/22/10	04/10	bw91002	ban301c	Bank of America		**4365 Apr
					70182	91002	ENTERTAINMENT	32.94	
					70240	91002	GAS & MILEAGE	84.25	
					70420	91002	PENALTY & LATE	7.31	
					70394	91002	PARKING EXPENS	3.50	
					70203	91002	FOOD & CLOTHIN	343.78	
					70537	91002	TRANSPORTATIO	28.22	
								500.00	
304067 450057	85831 10Apr20-90	X	04/22/10 04/20/10	04/10	bw91002	ban301c	Bank of America		**9098 Apr 20
					70203	91002	FOOD & CLOTHIN	4,780.69	
					70394	91002	PARKING EXPENS	18.89	
					70330	91002	MEDICAL & DENTA	72.63	
					70240	91002	GAS & MILEAGE	170.52	
					70287	91002	HOUSEHOLD EXP	453.49	
					70150	91002	DUES, SUBS, BOO	428.09	
					70182	91002	ENTERTAINMENT	185.93	
					70420	91002	PENALTY & LATE	53.67	
								6,163.91	
304187 450092	85832 42310/02		04/27/10 04/23/10	04/10	bw91002	far253c	FARMERS INSURA		Prop & Liab Coverage 269 Marine Dr
Acct. #92252-02-97 5/24/10-5/24/11					70292	91002	INSURANCE-PROP	858.72	
								858.72	
304188 450097	85833 10Apr9-229		04/27/10 04/09/10	04/10	bw91002	pug912c	Puget Sound Energ		115-166-000-6 Apr 9 - 229 Marine
					70160	91002	ELECTRIC UTILITI	7.01	
450098	10Apr-229		04/09/10		70160	91002	ELECTRIC UTILITI	22.39	0151660008 Apr 9 - 229 Marine
								29.40	
304245 450269	85834 43010/01		04/30/10 04/30/10	04/10	bw91002	wha348c	WHATCOM COUN		#405304 386487 0000 2010 1st Inst
					70470	91002	REAL & PERSONA	5,027.44	
								5,027.44	
							Total Checks	24,899.40	

# Journal Entry Register

## April 2010

Page 1  
5/12/2010  
03:55 PM

Ctrl# Batch#	Reference Source	Date Post Mo	Book	Ppty Code	Account	Name	Notes	Debit	Credit
91002	Lawrence Kates								
97487	BW STMT	04/05/10	Both		00100	CIB-BANK WEST (	04-05-10 incoming wire fee		
	BW STMT	04/10					04-05-10 incoming wire fee		12.00
97591	BW STMT	04/30/10	Both		00100	CIB-BANK WEST (	04/2010 Interest Income		
	BW STMT	04/10					04/2010 Interest Income	1.20	
								1.20	12.00
						TOTAL		1.20	12.00

Debtor LAWRENCE KATES

Report Mo/Yr 04/2010

## UST-23, NON-BUSINESS FINANCIAL ACCOUNT DETAIL

**INSTRUCTIONS:** Prepare a UST-23 for each non-business financial or brokerage account and attach a copy of the monthly statement (or most recent quarterly statement if a monthly statement not available for that account).

Depository (bank) name	⇒	<b>Bank of the West</b>
Account number	⇒	<b>736-003500</b>
Type of account: <input checked="checked" type="checkbox"/> Checking <input type="checkbox"/> Savings <input type="checkbox"/> Investment/brokerage <input type="checkbox"/> IRA/retirement <input type="checkbox"/> Funds held in attorney's trust account <input type="checkbox"/> Other (explain) _____		
Beginning cash balance		3,187.70
Add:	Transfers in from other accounts	-
Loan or financing proceeds deposited to this account (identify source)		-
Other receipts deposited to this account		-
Total cash available this month		3,187.70
Subtract:	Transfers out to other accounts	-
Payments from this account (all checks written for the month plus cash withdrawals, if any)		-
Adjustments, if any (explain)		-
Ending cash balance		3,187.70
<b>IMPORTANT:</b> Attach the following supporting documents:		
A monthly bank statement (or attorney's trust account statement);		Yes No <input checked="checked" type="checkbox"/> <input type="checkbox"/>
If applicable, a detailed statement of funds received or disbursed by another party for the debtor		<input type="checkbox"/> <input checked="checked" type="checkbox"/>

UST-23 FINANCIAL ACCOUNT DETAIL, Number \_\_\_\_\_ of \_\_\_\_\_

UST-23 Continuation Sheet

BANK OF THE WEST®

## STATEMENT

PAGE 1 OF 1

LOS ANGELES REID I 00736  
 300 SOUTH GRAND AVE 13TH FL  
 LOS ANGELES CA 90071 888-727-2692

STATEMENT PERIOD  
 04/01/10 THROUGH 04/30/10

STANDARD INVESTMENT COMPANY LTD  
 DBA NAPLES PLAZA  
 DEBTOR IN POSSESSION CASE #09-10188  
 1111 BAYSIDE DRIVE SUITE #111  
 CORONA DEL MAR CA 92625 1762

736-003500

0 ITEMS ENCLOSED

-----  
 ONLINE STATEMENTS PROVIDE A FAST, SAFE, AND CONVENIENT WAY  
 TO RECEIVE YOUR MONTHLY STATEMENTS. ENROLL IN ONLINE BANKING  
 AND SIGN UP TODAY AT [BANKOFTHEWEST.COM/ONLINEBANKING](http://BANKOFTHEWEST.COM/ONLINEBANKING).  
 -----

REGULAR BUSINESS CHECKING ACCOUNT NUMBER 736-003500

BEGINNING BALANCE.....	3,187.70	AVERAGE DAILY BALANCE.....	3,187.00
0 DEPOSITS.....	0.00	LOW BALANCE.....	3,187.70
0 CREDITS.....	0.00	YEAR-TO-DATE INTEREST PAID.....	0.00
0 WITHDRAWALS.....	0.00	YEAR-TO-DATE TAX WITHHELD.....	0.00
0 CHECKS.....	0.00	ANNUAL PERCENTAGE YIELD EARNED.....	0.00
ENDING BALANCE.....	3,187.70	INTEREST ACCRUED THIS STATEMENT.....	0.00



For Your Protection: Please examine this statement and report any discrepancy within 30 days.

MEMBER FDIC

**Deposit Register**  
bw80002 - Naples Plaza  
April 2010

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<u>Number</u>	<u>Date</u>	<u>Memo</u>	<u>Amount</u>
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**Check Register**  
**bw80002 - Naples Plaza**  
**April 2010**

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Chk Ctrl#	Check Nu	Not	Chk Date	Post	Bank	Payee	Pavee Name	Amount	Notes
Inv Ctrl#	Inv Num	Rcn	Inv Date	Mnth	Exp Acct	Ppty	Account Name		
								0.00	

Debtor LAWRENCE KATES

Report Mo/Yr 04/2010

## UST-23, NON-BUSINESS FINANCIAL ACCOUNT DETAIL

**INSTRUCTIONS:** Prepare a UST-23 for each non-business financial or brokerage account and attach a copy of the monthly statement (or most recent quarterly statement if a monthly statement not available for that account).

Depository (bank) name	⇒	<b>Banner Bank</b>
Account number	⇒	<b>3903149317</b>
Type of account: <input checked="checked" type="checkbox"/> Checking <input type="checkbox"/> Savings <input type="checkbox"/> Investment/brokerage <input type="checkbox"/> IRA/retirement <input type="checkbox"/> Funds held in attorney's trust account <input type="checkbox"/> Other (explain) _____		
Beginning cash balance		11,932.99
Add:	Transfers in from other accounts	-
	Loan or financing proceeds deposited to this account (identify source)	-
	Other receipts deposited to this account	1,348.20
Total cash available this month		13,281.19
Subtract:	Transfers out to other accounts	-
	Payments from this account (all checks written for the month plus cash withdrawals, if any)	2,031.72
Adjustments, if any (explain)		-
Ending cash balance		11,249.47
<b>IMPORTANT:</b> Attach the following supporting documents:		
A monthly bank statement (or attorney's trust account statement);		Yes No <input checked="checked" type="checkbox"/> <input type="checkbox"/>
If applicable, a detailed statement of funds received or disbursed by another party for the debtor		<input type="checkbox"/> <input checked="checked" type="checkbox"/>

UST-23 FINANCIAL ACCOUNT DETAIL, Number \_\_\_\_\_ of \_\_\_\_\_

UST-23 Continuation Sheet

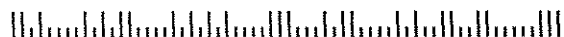




#BWNKVSW \*\*\*\*\*AUTO\*\*MIXED AADC 993  
#1002149000261322# 05/01/10  
26132 1.5570 MB 0.507 105 75 2  
Lawrence Kates  
1111 Bayside Dr Suite 111  
Corona Del Mar CA 92625-1762

Date 4/30/10  
Primary Account

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3903149317



### CHECKING ACCOUNTS

Account Title: Lawrence Kates

Now you can receive your monthly Banner Bank statement and select notices electronically. Enroll today through Online Banking. Simply click the "eDocs" tab and follow the steps.

Free Checking		Check Safekeeping	
Account Number	3903149317	Statement Dates	4/01/10 thru 5/02/10
Previous Balance	11,973.53	Days in the statement period	32
1 Deposits/Credits	1,348.20	Average Ledger	11,074.40
58 Debits	2,072.26	Average Collected	10,996.39
Service Charge	.00		
Interest Paid	.00		
Current Balance	11,249.47	2010 Interest Paid	20.12

### Deposits and Additions

Date	Description	Amount	Refe
4/26	Deposit	1,348.20	

### Withdrawals and Deductions

Date	Description	Amount	Refe
4/01	DBT CRD 2038 03/31/10 71900213	40.54-	
	CANADA SAFEWAY #038 *9609		
	W.VANCOUVER BC		
4/02	DBT CRD 0729 04/02/10 71032526	5.01-	
	VINCI PARK *9609		
	VANCOUVER BC		
4/02	DBT CRD 0616 04/02/10 71463680	56.52-	
	PASTAMELI OF NEW YORK *9609		



Date 4/30/10  
Primary Account

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3903149317

Free Checking

3903149317 (Continued)

Date	Description	Amount	Refe
	WEST VANCOUVEBC		
4/02	DBT CRD 0728 04/02/10 78920126	80.00-	
	CHEVRON 3690 WESTMOUNT *9609		
	W VANCOUVER BC		
4/05	DBT CRD 2308 04/02/10 79461684	22.02-	
	VANCOUVER AQUARIUM *9609		
	VANCOUVER BC		
4/05	DBT CRD 0543 04/04/10 79820113	46.47-	
	TAP HOUSE *9609		
	WEST VANCOUVEBC		
4/05	DBT CRD 0259 04/03/10 73043638	74.05-	
	THE FISH HOUSE-STANLEY *9609		
	VANCOUVER BC		
4/05	DBT CRD 1735 04/05/10 79004039	92.99-	
	HOME DEPOT #7035 *9609		
	W VANCOUVER BC		
4/06	DBT CRD 0327 04/06/10 70032517	10.07-	
	VINCI PARK *9609		
	VANCOUVER BC		
4/06	DBT CRD 0402 04/06/10 72920417	24.79-	
	NANDO'S WEST VANCOUVER *9609		
	WEST VANCOUVEBC		
4/06	DBT CRD 1831 04/05/10 72900402	32.52-	
	CANADA SAFEWAY #038 *9609		
	W. VANCOUVER BC		
4/06	DBT CRD 2305 04/05/10 77411466	48.32-	
	CARDERO'S RESTAURANT *9609		
	VANCOUVER BC		
4/07	DBT CRD 0226 04/07/10 74463628	70.00-	
	PASTAMELI OF NEW YORK *9609		
	WEST VANCOUVEBC		
4/08	DBT CRD 0248 04/08/10 74820161	15.74-	
	MCDONALD'S #1617 QPS *9609		
	NORTH VANCOUVEBC		
4/08	DBT CRD 1854 04/07/10 73900203	35.46-	
	CANADA SAFEWAY #038 *9609		
	W. VANCOUVER BC		
4/08	DBT CRD 0302 04/08/10 78920108	81.59-	
	CHEVRON 3690 WESTMOUNT *9609		
	W VANCOUVER BC		
4/09	DBT CRD 1739 04/09/10 71708394	4.61-	
	STARBUCKS CAN 00049106 *9609		
	WEST VANCOUVEBC		



Date 4/30/10  
Primary Account

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3903149317

Free Checking

3903149317 (Continued)

Withdrawals and Deductions

Date	Description	Amount	Refe
4/12	DBT CRD 0430 04/10/10 74820116 TAP HOUSE *9609 WEST VANCOUVEBC	42.16-	
4/12	DBT CRD 0029 04/12/10 78151011 FURRY CREEK GOLF AND C *9609 FURRY CREEK BC	51.44-	
4/12	DBT CRD 0938 04/11/10 77820116 TAP HOUSE *9609 WEST VANCOUVEBC	73.89-	
4/13	DBT CRD 0339 04/13/10 77032518 VINCI PARK *9609 VANCOUVER BC	10.07-	
4/13	DBT CRD 0339 04/13/10 73032518 VINCI PARK *9609 VANCOUVER BC	10.07-	
4/13	DBT CRD 2339 04/12/10 70411493 SEQUOIA GRILL *9609 VANCOUVER BC	51.33-	
4/15	DBT CRD 0400 04/15/10 79820115 TAP HOUSE *9609 WEST VANCOUVEBC	41.22-	
4/15	DBT CRD 1810 04/14/10 70900198 CANADA SAFEWAY #038 *9609 W.VANCOUVER BC	51.85-	
4/16	DBT CRD 1720 04/16/10 79032522 VINCI PARK *9609 VANCOUVER BC	10.08-	
4/16	DBT CRD 2324 04/15/10 77043633 MILESTONE'S #5207 *9609 WEST VANCOUVEBC	72.58-	
4/19	DBT CRD 1917 04/17/10 26107434 IN SHORT ORDER DAILY G *9609 SEATTLE WA	5.78-	
4/19	DBT CRD 1706 04/19/10 72004062 HOME DEPOT #7035 *9609 W VANCOUVER BC	15.57-	
4/19	DBT CRD 1301 04/17/10 23531609 DISH D'LISH SE30594758 *9609 SEATTLE WA	25.51-	
4/19	DBT CRD 0230 04/18/10 77463649 PASTAMELI OF NEW YORK *9609 WEST VANCOUVEBC	29.81-	
4/19	DBT CRD 1707 04/19/10 74004062 HOME DEPOT #7035 *9609	44.52-	



Date 4/30/10  
Primary Account

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Free Checking

3903149317 (Continued)

Date	Description	Withdrawals and Deductions	Amount	Refe
4/19	W VANCOUVER BC DBT CRD 1650 04/19/10 22510904 ALASKA AIR 0272149224 *9609 SEATTLE WA		49.62-	
4/19	DBT CRD 0914 04/17/10 76920121 CHEVRON 3690 WESTMOUNT *9609 W VANCOUVER BC		85.70-	
4/20	DBT CRD 0452 04/20/10 71820118 TAP HOUSE *9609 WEST VANCOUVEBC		40.21-	
4/20	DBT CRD 0618 04/20/10 29400604 DRI*WWW.ELEMENT5.INFO *9609 952-908-4084 MN		79.95-	
4/21	DBT CRD 1202 04/21/10 20071042 Z PIZZA NEWPORT COAST *9609 NEWPORT COASTCA		5.00-	
4/21	DBT CRD 0449 04/21/10 27131115 LA FOGATA FRESH HEALTH *9609 CORONA DL MARCA		44.00-	
4/21	DBT CRD 0319 04/21/10 76920111 TROLL'S RESTAURANT *9609 VANCOUVER BC		48.51-	
4/22	DBT CRD 2156 04/21/10 26980025 SLAYTERS GOLDEN SPOON *9609 CORONA DEL MACA		3.30-	
4/22	DBT CRD 2323 04/21/10 77043635 HMSHOST VCR AIRPORT *9609 RICHMOND BC		4.04-	
4/22	DBT CRD 0403 04/22/10 28691513 RITE AID STORE 5747 *9609 NEWPORT BEACHCA		21.41-	
4/22	DBT CRD 0259 04/22/10 73920119 CHEVRON 3690 WESTMOUNT *9609 W VANCOUVER BC		52.67-	
4/26	DBT CRD 1728 04/25/10 27275114 TUTTI FRUTTI *9609 BALBOA ISLANDCA		2.29-	
4/26	DBT CRD 1851 04/25/10 29207099 GELATO PARADISO *9609 NEWPORT BEACHCA		3.50-	
4/26	DBT CRD 0103 04/26/10 27710040 MCDONALD'S F1971 *9609 NEWPORT BEACHCA		4.77-	



Date 4/30/10  
Primary Account

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Free Checking

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Withdrawals and Deductions

Date	Description	Amount	Refe
4/26	DBT CRD 1928 04/23/10 24017305 HUSKY BOY BURGERS *9609 LAGUNA BEACH CA	6.42-	
4/26	DBT CRD 1248 04/26/10 20299010 VONS Store00022350 *9609 NEWPORT BEACHCA	15.25-	
4/26	DBT CRD 0443 04/25/10 20769069 FRY'S ELECTRONICS #7 *9609 FOUNTAIN VALLCA	16.30-	
4/26	DBT CRD 1244 04/24/10 20299010 VONS Store00022350 *9609 NEWPORT BEACHCA	36.32-	
4/26	DBT CRD 1948 04/24/10 22401073 CHEVRON 00094161 *9609 CORONA DEL MACA	38.33-	
4/26	DBT CRD 2136 04/25/10 20320456 WAL-MART *9609 LAGUNA NIGUELCA	43.50-	
4/26	DBT CRD 1907 04/25/10 21987187 PARK AVENUE CAFE *9609 NEWPORT BEACHCA	63.67-	
4/27	DBT CRD 1731 04/27/10 70581174 ALASKA AIR 0270214942 *9609 VANCOUVER BC	53.96-	
4/28	DBT CRD 1253 04/28/10 23071042 Z PIZZA NEWPORT COAST *9609 NEWPORT COASTCA	4.08-	
4/28	DBT CRD 1041 04/28/10 28105176 STAPLES 00104109 *9609 NEWPORT BEACHCA	28.26-	
4/28	DBT CRD 1725 04/28/10 24401113 CHEVRON 00094161 *9609 CORONA DEL MACA	38.76-	
4/30	DBT CRD 0453 04/30/10 22720035 MCDONALD'S F1971 *9609 NEWPORT BEACHCA	5.86-	

Daily Balance Information

Date	Balance	Date	Balance	Date	Balance
4/01	11,932.99	4/06	11,440.23	4/09	11,232.83
4/02	11,791.46	4/07	11,370.23	4/12	11,065.34
4/05	11,555.93	4/08	11,237.44	4/13	10,993.87



Date 4/30/10  
Primary Account

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Free Checking

3903149317 (Continued)

Daily Balance Information

Date	Balance	Date	Balance	Date	Balance
4/15	10,900.80	4/21	10,343.96	4/28	11,255.33
4/16	10,818.14	4/22	10,262.54	4/30	11,249.47
4/19	10,561.63	4/26	11,380.39		
4/20	10,441.47	4/27	11,326.43		

\* \* \* \* \* END OF STATEMENT \* \* \* \* \*

**Deposit Register**  
**bb91002 - Lawrence Kates**  
**April 2010**

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Number		Date	Memo		Amount	
16 X		04/26/10	SSI - March (Ctrl# 55665)		1,348.20	
Ctrl#	Unit#	Payer	Check#	Rcv'd	Notes	Amount
469003	----	Lawrence Kates	Chk # 135282	04/26/10	Social Security payment March (\$1,3	1,348.20
		91002 - Lawrence Kates	69770 - SOCIAL SECURITY BE		1,389.00	No Chg
		91002 - Lawrence Kates	70330 - MEDICAL & DENTAL E		-40.80	No Chg

Total Deposits                      1,348.20

**Check Register**  
**bb91002 - Lawrence Kates**  
**April 2010**

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Chk Ctrl# Inv Ctrl#	Check Nu Inv Num	Not Rcn	Chk Date Inv Date	Post Mnth	Bank Exp Acct	Payee Ppty	Payee Name Account Name	Amount	Notes
303728 449700	752 040210 -1	X	04/02/10 04/02/10	04/10	bb91002	ban480c	Banner Bank - Debit		Vinci Park
					70394	91002	PARKING EXPENS	<u>5.01</u> 5.01	
303729 449701	753 040210 -2	X	04/02/10 04/02/10	04/10	bb91002	ban480c	Banner Bank - Debit		Pastameli of New York
					70203	91002	FOOD & CLOTHIN	<u>56.52</u> 56.52	
303730 449702	754 040210 -3	X	04/02/10 04/02/10	04/10	bb91002	ban480c	Banner Bank - Debit		Chevron Gas
					70240	91002	GAS & MILEAGE	<u>80.00</u> 80.00	
303731 449703	755 040510 -1	X	04/05/10 04/05/10	04/10	bb91002	ban480c	Banner Bank - Debit		Vancouver Aquarium
					70182	91002	ENTERTAINMENT	<u>22.02</u> 22.02	
303732 449704	756 040510 -2	X	04/05/10 04/05/10	04/10	bb91002	ban480c	Banner Bank - Debit		Tap House Restaurant
					70203	91002	FOOD & CLOTHIN	<u>46.47</u> 46.47	
303733 449705	757 040510 -3	X	04/05/10 04/05/10	04/10	bb91002	ban480c	Banner Bank - Debit		Fish House Restaurant
					70203	91002	FOOD & CLOTHIN	<u>74.05</u> 74.05	
303734 449706	758 040510 -4	X	04/05/10 04/05/10	04/10	bb91002	ban480c	Banner Bank - Debit		Home Depot
					70287	91002	HOUSEHOLD EXP	<u>92.99</u> 92.99	
303735 449707	759 040610 -1	X	04/06/10 04/06/10	04/10	bb91002	ban480c	Banner Bank - Debit		Vinci Park
					70394	91002	PARKING EXPENS	<u>10.07</u> 10.07	
303736 449708	760 040610 -2	X	04/06/10 04/06/10	04/10	bb91002	ban480c	Banner Bank - Debit		Nando's Restaurant
					70203	91002	FOOD & CLOTHIN	<u>24.79</u> 24.79	
303737 449709	761 040610 -3	X	04/06/10 04/06/10	04/10	bb91002	ban480c	Banner Bank - Debit		Canada Safeway
					70203	91002	FOOD & CLOTHIN	<u>32.52</u> 32.52	
303738 449710	762 040610 -4	X	04/06/10 04/06/10	04/10	bb91002	ban480c	Banner Bank - Debit		Cardero's Restaurant
					70203	91002	FOOD & CLOTHIN	<u>48.32</u> 48.32	
303746 449754	763 040710	X	04/07/10 04/07/10	04/10	bb91002	ban480c	Banner Bank - Debit		Pastameli of New York
					70203	91002	FOOD & CLOTHIN	<u>70.00</u> 70.00	
303838 449770	764 040810 -1	X	04/08/10 04/08/10	04/10	bb91002	ban480c	Banner Bank - Debit		McDonalds
					70203	91002	FOOD & CLOTHIN	<u>15.74</u> 15.74	



**Check Register**  
**bb91002 - Lawrence Kates**  
**April 2010**

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Chk Ctrl# Inv Ctrl#	Check Nu Inv Num	Not Rcn	Chk Date Inv Date	Post Mnth	Bank Exp Acct	Payee Ppty	Payee Name Account Name	Amount	Notes
303839 449771	765 40810 -2	X	04/09/10 04/08/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	35.46 35.46	Safeway
303840 449772	766 040810 -3	X	04/08/10 04/08/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70240	91002	GAS & MILEAGE	81.59 81.59	Chevron
303893 449851	767 040910	X	04/09/10 04/09/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	4.61 4.61	Starbucks
303894 449852	768 041210 -1	X	04/12/10 04/12/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	42.16 42.16	Tap House Restaurant
303895 449853	769 041210 -2	X	04/12/10 04/12/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	51.44 51.44	Furry Creek Golf and Country Club
303896 449854	770 041210 -3	X	04/12/10 04/12/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	73.89 73.89	Tap House Restaurant
303897 449855	771 041210 -4	X	04/12/10 04/12/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	51.33 51.33	Sequoia Grill
303908 449888	772 041310	X	04/13/10 04/13/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70394	91002	PARKING EXPENS	10.07 10.07	Vinci Park
303909 449889	773 04132010	X	04/13/10 04/13/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70394	91002	PARKING EXPENS	10.07 10.07	Vinci Park
303910 449890	774 4132010	X	04/13/10 04/13/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	51.33 51.33	Sequoia Grill
303911 449891	775 Reversal #4	X	04/13/10 04/13/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	-51.33 -51.33	Reverse Control #449890, duplicate e
303917 449946	776 041410	X	04/14/10 04/14/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	51.85 51.85	Safeway
303918 449947	777 041510	X	04/15/10 04/15/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	41.22 41.22	Tap House

**Check Register**  
**bb91002 - Lawrence Kates**  
**April 2010**

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Chk Ctrl# Inv Ctrl#	Check Nu Inv Num	Not Rcn	Chk Date Inv Date	Post Mnth	Bank Exp Acct	Payee Ppty	Payee Name Account Name	Amount	Notes
303931 449966	778 04152010	X	04/15/10 04/15/10	04/10	bb91002	ban480c	Banner Bank - Debit		Milestones
					70203	91002	FOOD & CLOTHIN	<u>72.58</u> 72.58	
303932 449968	779 041610	X	04/16/10 04/16/10	04/10	bb91002	ban480c	Banner Bank - Debit		Vinci Park
					70394	91002	PARKING EXPENS	<u>10.08</u> 10.08	
303933 449969	780 041710	X	04/17/10 04/17/10	04/10	bb91002	ban480c	Banner Bank - Debit		Chevron
					70240	91002	GAS & MILEAGE	<u>85.70</u> 85.70	
303934 449970	781 04172010	X	04/17/10 04/17/10	04/10	bb91002	ban480c	Banner Bank - Debit		Dish D'Lish
					70203	91002	FOOD & CLOTHIN	<u>25.51</u> 25.51	
303935 449971	782 4172010	X	04/17/10 04/17/10	04/10	bb91002	ban480c	Banner Bank - Debit		Short Order Daily Grill
					70203	91002	FOOD & CLOTHIN	<u>5.78</u> 5.78	
303936 449972	783 041810	X	04/18/10 04/18/10	04/10	bb91002	ban480c	Banner Bank - Debit		Pastameli of New York
					70203	91002	FOOD & CLOTHIN	<u>29.81</u> 29.81	
303937 449989	784 042010	X	04/20/10 04/20/10	04/10	bb91002	ban480c	Banner Bank - Debit		Tap House
					70203	91002	FOOD & CLOTHIN	<u>40.21</u> 40.21	
303938 449990	785 04202010	X	04/20/10 04/20/10	04/10	bb91002	ban480c	Banner Bank - Debit		Element5
					70287	91002	HOUSEHOLD EXP	<u>79.95</u> 79.95	
303939 449991	786 041910	X	04/19/10 04/19/10	04/10	bb91002	ban480c	Banner Bank - Debit		Home Depot
					70287	91002	HOUSEHOLD EXP	<u>15.57</u> 15.57	
303940 449992	787 41910	X	04/19/10 04/19/10	04/10	bb91002	ban480c	Banner Bank - Debit		Home Depot
					70287	91002	HOUSEHOLD EXP	<u>44.52</u> 44.52	
303941 449993	788 4192010	X	04/19/10 04/19/10	04/10	bb91002	ban480c	Banner Bank - Debit		Alaska Air
					70540	91002	TRAVEL EXPENSE	<u>49.62</u> 49.62	
304057 450048	789 042110	X	04/21/10 04/21/10	04/10	bb91002	ban480c	Banner Bank - Debit		Troll's Restaurant
					70203	91002	FOOD & CLOTHIN	<u>48.51</u> 48.51	
304058 450049	790 04212010	X	04/21/10 04/21/10	04/10	bb91002	ban480c	Banner Bank - Debit		La Fogata
					70203	91002	FOOD & CLOTHIN	<u>44.00</u> 44.00	

**Check Register**  
**bb91002 - Lawrence Kates**  
**April 2010**

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Chk Ctrl# Inv Ctrl#	Check Nu Inv Num	Not Rcn	Chk Date Inv Date	Post Mnth	Bank Exp Acct	Payee Ppty	Payee Name Account Name	Amount	Notes
304068 450072	791 042110 -3	X	04/21/10 04/21/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	<u>5.00</u> 5.00	Z Pizza
304071 450083	792 042210 -1	X	04/22/10 04/22/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	<u>3.30</u> 3.30	Slayers Golden Spoon
304072 450084	793 042210 -2	X	04/22/10 04/22/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	<u>4.04</u> 4.04	HMSHOST VCR Airport
304073 450085	794 042210 -3	X	04/22/10 04/22/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70287	91002	HOUSEHOLD EXP	<u>21.41</u> 21.41	Rite Aid
304074 450086	795 042210 -4	X	04/22/10 04/22/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70240	91002	GAS & MILEAGE	<u>52.67</u> 52.67	Chevron Gas
304193 450217	796 042610 -1	X	04/26/10 04/26/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	<u>2.29</u> 2.29	Tutti Frutti
304194 450218	797 042610 -2	X	04/26/10 04/26/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	<u>3.50</u> 3.50	Gelato Paradiso
304195 450219	798 042610 -3	X	04/26/10 04/26/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	<u>4.77</u> 4.77	McDonald's
304196 450220	799 042610 -4	X	04/26/10 04/26/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	<u>6.42</u> 6.42	Husky Boy Burgers
304197 450221	800 042610 -5	X	04/26/10 04/26/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	<u>15.25</u> 15.25	Vons Store
304198 450222	801 042610 -6	X	04/26/10 04/26/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70287	91002	HOUSEHOLD EXP	<u>16.30</u> 16.30	Fry's Electronics
304199 450223	802 042610 -7	X	04/26/10 04/26/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	<u>36.32</u> 36.32	Vons Store
304200 450224	803 042610 -8	X	04/26/10 04/26/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70240	91002	GAS & MILEAGE	<u>38.33</u> 38.33	Chevron

**Check Register**  
**bb91002 - Lawrence Kates**  
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Chk Ctrl# Inv Ctrl#	Check Nu Inv Num	Not Rcn	Chk Date Inv Date	Post Mnth	Bank Exp Acct	Payee Ppty	Payee Name Account Name	Amount	Notes
304201 450225	804 042610 -9	X	04/26/10 04/26/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70287	91002	HOUSEHOLD EXP	43.50	Wal-Mart
								43.50	
304202 450226	805 042610 -10	X	04/26/10 04/26/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	63.67	Park Avenue Cafe
								63.67	
304203 450227	806 042710	X	04/27/10 04/27/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70540	91002	TRAVEL EXPENSE	53.96	Alaska Air
								53.96	
304241 450266	807 042810 -1	X	04/28/10 04/28/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	4.08	Z Pizza
								4.08	
304242 450267	808 042810 -2	X	04/28/10 04/28/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70287	91002	HOUSEHOLD EXP	28.26	Staples
								28.26	
304243 450268	809 042810 -3	X	04/28/10 04/28/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70240	91002	GAS & MILEAGE	38.76	Chevron Gas
								38.76	
304246 450273	810 043010	X	04/30/10 04/30/10	04/10	bb91002	ban480c	Banner Bank - Debit		
					70203	91002	FOOD & CLOTHIN	5.86	McDonald's
								5.86	
							Total Checks	2,031.72	

Debtor LAWRENCE KATES

Report Mo/Yr 04/2010

## UST-23, NON-BUSINESS FINANCIAL ACCOUNT DETAIL

**INSTRUCTIONS:** Prepare a UST-23 for each non-business financial or brokerage account and attach a copy of the monthly statement (or most recent quarterly statement if a monthly statement not available for that account).

Depository (bank) name	⇒	<b>CIBC</b>
Account number	⇒	<b>77-20335</b>
Type of account: <input checked="checked" type="checkbox"/> Checking <input type="checkbox"/> Savings <input type="checkbox"/> Investment/brokerage <input type="checkbox"/> IRA/retirement <input type="checkbox"/> Funds held in attorney's trust account <input type="checkbox"/> Other (explain) _____		
		Bank Statement End Date: 04/04/2010
		Amounts in this column are in Canadian Dollars
Beginning cash balance		10,110.00
Add:	Transfers in from other accounts	-
	Loan or financing proceeds deposited to this account (identify source)	-
	Other receipts deposited to this account	-
Total cash available this month		10,110.00
Subtract:	Transfers out to other accounts	-
	Payments from this account (all checks written for the month plus cash withdrawals, if any)	-
Adjustments, if any (explain)		-
March service charge - \$3.90 bank credited back + \$3.90		
Ending cash balance		10,110.00
		\$9,994.07 USD
<b>IMPORTANT:</b> Attach the following supporting documents:		
A monthly bank statement (or attorney's trust account statement);		Yes No <input checked="checked" type="checkbox"/> <input type="checkbox"/>
If applicable, a detailed statement of funds received or disbursed by another party for the debtor		<input type="checkbox"/> <input checked="checked" type="checkbox"/>

UST-23 FINANCIAL ACCOUNT DETAIL, Number \_\_\_\_\_ of \_\_\_\_\_

UST-23 Continuation Sheet



## Account Details

May 11, 2010 at 05:16pm Eastern time

Account Type:	Chequing
Transit Number:	09920
Account Number:	77-20335
Balance <sup>1</sup> :	\$10,110.00
Available Funds <sup>2</sup> :	\$10,110.00

## Past Transactions - March 04, 2010 to April 04, 2010

Date	Transactions	Debit	Credit	End of Day Balance <sup>3</sup>
March 31, 2010	Branch Transaction SERVICE CHARGE DISCOUNT		\$3.90	\$10,110.00
March 31, 2010	Branch Transaction SERVICE CHARGE	\$3.90		...

<sup>1</sup> Balance column shows the amount of money in your account, including any funds on hold.

<sup>2</sup> Available Funds is your Balance minus any funds on hold plus any overdraft limit, representing money available for immediate use in your account.

<sup>3</sup> End of Day Balance will only appear for dates previous to the last 2 business days.

\* Foreign Currency Conversion Fee - If you withdraw foreign currency from a bank machine located outside of Canada, you are charged the same conversion rate CIBC is required to pay, plus an administration fee of 2.5% of the converted amount (this is in addition to any transaction fee applicable to the withdrawal and the network fee).

### Please Note:

Transactions from today are reflected in your balance, but may not be displayed on this page if you recently updated your bankbook, if a paper statement was recently issued, or if a transaction is backdated. These transactions will appear in your history the following business day.

For questions and/or disputes about service fees or Non-Sufficient Fund (NSF) charges, please call Telephone Banking at 1-800-465-2422. You can also view a list of [service charges and account fees](#).

R015

**Deposit Register**  
ci91010 - Lawrence Kates  
April 2010

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<u>Number</u>	<u>Date</u>	<u>Memo</u>	<u>Amount</u>
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ci91010 - Lawrence Kates  
April 2010

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Chk Ctrl#	Check Nu	Not	Chk Date	Post	Bank	Payee	Payee Name	Amount	Notes
Inv Ctrl#	Inv Num	Rcn	Inv Date	Mnth	Exp Acct	Ppty	Account Name		
								0.00	





10,110 CAD  
(CAD = Canadian dollar)

Date:	USD = U.S. dollar (noon)	Exchange rate:
30 Apr 2010	9,994.07 USD	0.9885 (1.0116)

If you have selected a weekend date, the nearest business dates are used instead.

Conversions are based on Bank of Canada "nominal rates", which are neither buying nor selling rates. Rates available from financial institutions will likely differ.

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Debtor LAWRENCE KATES

Report Mo/Yr 04/2010

## UST-23, NON-BUSINESS FINANCIAL ACCOUNT DETAIL

**INSTRUCTIONS:** Prepare a UST-23 for each non-business financial or brokerage account and attach a copy of the monthly statement (or most recent quarterly statement if a monthly statement not available for that account).

Depository (bank) name	⇒	<b>HSBC Bank</b>
Account number	⇒	<b>1687704510</b>
Type of account: <input checked="checked" type="checkbox"/> Checking <input type="checkbox"/> Savings <input type="checkbox"/> Investment/brokerage <input type="checkbox"/> IRA/retirement <input type="checkbox"/> Funds held in attorney's trust account <input type="checkbox"/> Other (explain) _____		
Beginning cash balance		2,440.03
Add:	Transfers in from other accounts	-
Loan or financing proceeds deposited to this account (identify source)		-
Other receipts deposited to this account		-
Total cash available this month		2,440.03
Subtract:	Transfers out to other accounts	-
Payments from this account (all checks written for the month plus cash withdrawals, if any)		-
Adjustments, if any (explain)	April Interest	0.20
Ending cash balance		2,440.23
<b>IMPORTANT:</b> Attach the following supporting documents:		
A monthly bank statement (or attorney's trust account statement);		Yes No <input checked="checked" type="checkbox"/> <input type="checkbox"/>
If applicable, a detailed statement of funds received or disbursed by another party for the debtor		<input type="checkbox"/> <input checked="checked" type="checkbox"/>

UST-23 FINANCIAL ACCOUNT DETAIL, Number \_\_\_\_\_ of \_\_\_\_\_

UST-23 Continuation Sheet

**HSBC Premier**  
**Statement of Account**  
 Account Number 168-70451-0

April 1, 2010 - April 30, 2010  
 Page 1 of 1



LAWRENCE KATES 32-00168P  
 1111 BAYSIDE DR STE 111  
 CORONA DL MAR CA 92625-1762

**Questions?**  
 Call 1-888-662-HSBC or write:  
 HSBC  
 Domestic Private Banking  
 445 No. Bedford Drive, 2nd Floor  
 Beverly Hills, CA 90210

## DEPOSIT ACCOUNT SUMMARY FOR PERIOD ENDING 04/30/2010

ACCOUNT	ACCOUNT NUMBER	INTEREST CREDITED YEAR TO DATE	BEGINNING BALANCE	DEPOSITS AND OTHER ADDITIONS	CHECKS/ WITHDRAWALS AND OTHER SUBTRACTIONS	ENDING BALANCE
<b>CHECKING ACCOUNTS</b>						
HSBC PREMIER	168-70451-0	.26	2,440.03	0.20	0.00	2,440.23
TOTAL CHECKING						\$2,440.23
TOTAL OF ALL REPORTED DEPOSIT BALANCES AS OF APR 30:						\$2,440.23

## ACCOUNT DETAIL

**HSBC PREMIER**      **168-70451-0**      **Domestic Private Banking**  
 LAWRENCE KATES

ADD THIS AMOUNT TO YOUR RECORDS: \$0.20  
 (INTEREST POSTED TO YOUR ACCOUNT DURING THIS PERIOD)  
 DATE OF YOUR LAST STATEMENT WAS: MAR 31, 2010

DATE POSTED	DESCRIPTION OF TRANSACTIONS	CHECKS AND OTHER SUBTRACTIONS	DEPOSITS AND OTHER ADDITIONS	BALANCE
04/30/10	INTEREST EARNED AND PAID FROM 04/01/10 THROUGH 04/30/10 INCLUSIVE AVERAGE DAILY LEDGER BALANCE \$2,440.03 ANNUAL PERCENTAGE YIELD EARNED 0.10%		.20	2,440.23

**Deposit Register**  
hs91002 - Lawrence Kates  
April 2010

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<u>Number</u>	<u>Date</u>	<u>Memo</u>	<u>Amount</u>
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**Check Register**  
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**April 2010**

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Chk Ctrl#	Check Nu	Not	Chk Date	Post	Bank	Payee	Payee Name	Amount	Notes
Inv Ctrl#	Inv Num	Rcn	Inv Date	Mnth	Exp Acct	Ppty	Account Name		
								0.00	

# Journal Entry Register

## April 2010

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Ctrl# Batch#	Reference Source	Date Post Mo	Book	Ppty Code	Account	Name	Notes	Debit	Credit
91002	Lawrence Kates								
	97638 HS STMT	04/30/10	Both				04/2010 Interest Income		
	HS STMT	04/10			00260	CIB-HSBC BANK U	04/2010 Interest income	0.20	
								0.20	0.00
						TOTAL		0.20	0.00

Debtor LAWRENCE KATES

Report Mo/Yr 04/2010

## UST-23, NON-BUSINESS FINANCIAL ACCOUNT DETAIL

**INSTRUCTIONS:** Prepare a UST-23 for each non-business financial or brokerage account and attach a copy of the monthly statement (or most recent quarterly statement if a monthly statement not available for that account).

Depository (bank) name	⇒	<b>North Shore Credit Union</b>
Account number	⇒	<b>88054</b>
Type of account: <input checked="checked" type="checkbox"/> Checking <input type="checkbox"/> Savings <input type="checkbox"/> Investment/brokerage <input type="checkbox"/> IRA/retirement <input type="checkbox"/> Funds held in attorney's trust account <input type="checkbox"/> Other (explain) _____		
		Bank Statement End Date: 04/15/2010
		Amounts in this column are in Canadian Dollars
Beginning cash balance		185,369.22
Add:	Transfers in from other accounts	-
	Loan or financing proceeds deposited to this account	-
	Other receipts deposited to this account	-
Total cash available this month		185,369.22
Subtract:	Transfers out to other accounts (To Bank of the West 736-006503)	25,033.35
	Payments from this account (all checks written for the month plus cash withdrawals, if any)	21,646.71
Adjustments, if any (explain)	Outgoing Wire Service Charge	(16.30)
Ending cash balance		\$137,082.70 USD 138,672.86
<b>IMPORTANT:</b> Attach the following supporting documents:		
A monthly bank statement (or attorney's trust account statement);		Yes No <input checked="checked" type="checkbox"/> <input type="checkbox"/>
If applicable, a detailed statement of funds received or disbursed by another party for the debtor		<input type="checkbox"/> <input checked="checked" type="checkbox"/>

UST-23 FINANCIAL ACCOUNT DETAIL, Number \_\_\_\_\_ of \_\_\_\_\_

UST-23 Continuation Sheet



15 Apr 2010

Relationship Number

8805403

Branch

19756

LAWRENCE KATES  
1243 CHARTWELL PL  
WEST VANCOUVER BC V7S 2S2

Park Royal  
815 Main Street (The Village at Park Royal)  
West Vancouver BC V7T 2Z3

604.982.8000  
1.888.713.6726  
www.nscu.com



account statement

## BANKING

Chequing Premium - 01170005275169 - 00002

Date	Description	Withdrawals	Deposits	Balance
	Opening Balance			\$172,955.25
17 Mar	Cheque 85457	\$61.27		\$172,923.99
17 Mar	Cheque 85459	\$63.18		\$172,850.81
17 Mar	Cheque 85460	\$63.91		\$172,796.90
17 Mar	Cheque 85458	\$77.31		\$172,719.59
17 Mar	Cheque 85463	\$109.81		\$172,609.78
17 Mar	Cheque 85461	\$223.83		\$172,385.95
17 Mar	Cheque 85462	\$120.21		\$172,265.74
17 Mar	Cheque 85460	\$1,163.00		\$171,102.74
18 Mar	Cheque 85463	\$26.86		\$171,075.88
18 Mar	Cheque 85462	\$44.52		\$171,031.36
18 Mar	Cheque 85465	\$2,162.05		\$168,869.31
18 Mar	Cheque 85464	\$418.46		\$168,450.85
23 Mar	Cheque 85461	\$234.04		\$168,216.81
24 Mar	Deposit		\$25,965.00	\$194,181.81
25 Mar	Cheque 85466	\$134.40		\$194,047.41
25 Mar	Cheque 85465	\$1,458.30		\$192,589.11
25 Mar	Cheque 85471	\$418.92		\$192,170.19
26 Mar	Cheque 85466	\$157.02		\$192,013.17
26 Mar	Cheque 85467	\$1,173.75		\$190,839.42
26 Mar	Cheque 85464	\$3,150.00		\$187,689.42
26 Mar	Cheque 85470	\$63.18		\$187,626.24
26 Mar	Cheque 85469	\$68.75		\$187,557.49
27 Mar	Cheque 85468	\$2,026.15		\$185,531.34
5 Apr	Wire Transfer WIRE 1713118	\$25,049.65		\$160,481.69

**2.75% Term Deposit** – Get a 24-month term deposit at a great rate that's cashable after 12 months at 1.75%. All rates subject to change without notice.

Unlimited deposit guarantee: As a member of North Shore Credit Union, your deposits are now 100% guaranteed by the Credit Union Deposit Insurance Corporation (CUDIC). CUDIC is a government corporation that guarantees deposits and non-equity shares of British

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(continued from previous page)

Date	Description	Withdrawals	Deposits	Balance
7 Apr	Cheque 85473	\$5,000.00		\$155,461.69
9 Apr	Transfer to LOC or Loan	\$4,731.89		\$150,749.60
15 Apr	Cheque 85472	\$162.12		\$150,587.68
Total Debits: \$46,362.56				
Total Credits: \$25,965.00				
Cheques: 24				

USD Chequing Regular - 01120005275185 - 00001

Date	Description	Withdrawals	Deposits	Balance
	Opening Balance			\$10.76
31 Mar	Effective 1 Apr: Package Service Charge	\$4.00		\$6.76
Total Debits: \$4.00				
Total Credits: \$0.00				
Cheques: 0				

Membership A Equity Shares - 01300005275151 - 00002

Date	Description	Withdrawals	Deposits	Balance
	Opening Balance			\$25.39
1 Apr	Effective 2 Apr: Interest		\$0.06	\$25.39
Total Debits: \$0.00				
Total Credits: \$0.06				

**BORROWING**

HomeFlex Residential Mortgage: Closed, Fixed Rate - 01190012212223 - 00003

Date	Description	Withdrawals	Deposits	Balance
	Interest Rate: 5.850%			
	Payment Frequency: Monthly on day 9			
	Past Due: \$0.00			
	Next Payment Due: \$4,731.89			
	Date Due: 09 APR 2010			
	Opening Balance			\$679,733.60
9 Apr	Payment: Interest		\$3,336.97	\$679,733.60
9 Apr	Payment: Principal		\$1,394.92	\$676,338.68
Total Debits: \$0.00				
Total Credits: \$4,731.89				

This statement will be considered correct unless North Shore Credit Union is advised of any error or omission in writing by the member within 30 days of mailing the statement.

**Deposit Register**  
ns91010 - Lawrence Kates  
April 2010

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<u>Number</u>	<u>Date</u>	<u>Memo</u>	<u>Amount</u>
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**April 2010**

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Chk Ctrl# Inv Ctrl#	Check Nu Inv Num	Not Rcn	Chk Date Inv Date	Post Mnth	Bank Exp Acct	Payee Ppty	Payee Name Account Name	Amount	Notes
303718 449605	27 040510 WI	X	04/05/10 04/05/10	04/10	ns91010	91002b	Lawrence Kates		
					44000	91010	CURR YR DRAW-L	25,033.35 25,033.35	Txf fr ns91010 to bw91002 → <i>Transfer Out</i>
303726 449698	28 040210-1	X	04/02/10 04/02/10	04/10	ns91010	ban480c	Banner Bank - Debit		
					70394	91010	PARKING EXPENS	5.01 5.01	Vinci Park
303727 449699	29 040210-1 re	X	04/02/10 04/02/10	04/10	ns91010	ban480c	Banner Bank - Debit		
					70394	91010	PARKING EXPENS	-5.01 -5.01	Reverse control #449698 wrong proper
303842 449778	30 040910	X	04/09/10 04/09/10	04/10	ns91010	nor815x	NorthShore Credit U		
					26004 80204	91010 91010	1ST MORTGAGE P INT EXP-1ST MOR	1,394.92 3,336.97 4,731.89	1239 Auto Loan payment #88054-001
303715 449576	85473 040510	X	04/05/10 04/05/10	04/10	ns91010	yne000p	Ynez Kates		
					70287	91010	HOUSEHOLD EXP	5,000.00 5,000.00	Household allowance to NSCU
303812 449648	85474 29375		04/08/10 03/25/10	04/10	ns91010	arg312p	Argosy Pools Ltd.		
					70650	91010	POOL MAINT/SUP	208.90	replace fountain pump seal & filter cart
449649	29434		03/31/10		70650	91010	POOL MAINT/SUP	200.50 409.40	Mar service and acid - 1243 Chartwell
303815 449570	85475 10Mar14		04/08/10 03/14/10	04/10	ns91010	bel549p	Bell Canada		
					70530	91010	TELEVISION & CA	188.69 188.69	163651772 Mar 14
303816 449574	85476 1479		04/08/10 03/11/10	04/10	ns91010	bot961x	Botanical Arts Gard		
					70230	91010	GARDENING	336.00 336.00	1243 Chartwell 2/24 gardening
303817 449571	85477 990220966		04/08/10 03/31/10	04/10	ns91010	can656p	Canadian Springs		
					70287	91010	HOUSEHOLD EXP	27.80 27.80	210081296 Mar 31 - 1243 Chartwell w
303818 449562	85478 10Feb28-M		04/08/10 02/28/10	04/10	ns91010	hol950p	Hollyburn Country C		
					70150	91010	DUES, SUBS, BOO	205.28 205.28	49350 Kates Dues
303819 449567	85479 10Feb28-L		04/08/10 02/28/10	04/10	ns91010	hol950p	Hollyburn Country C		
					70150	91010	DUES, SUBS, BOO	443.57 443.57	24450 Feb 28
303820 449569	85480 W65234		04/08/10 03/08/10	04/10	ns91010	kei140p	Keith Plumbing & H		
					75260	91010	PLUMBING SUPPLI	919.20 919.20	gas control repair on boiler - 1243 Cha
303821 449572	85481 RC0009032		04/08/10 03/01/10	04/10	ns91010	pal295x	Paladin Security Sy		
									Mar - May 31 monitoring - 1243 Chart

**Check Register**  
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**April 2010**

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Chk Ctrl# Inv Ctrl#	Check Nu Inv Num	Not Rcn	Chk Date Inv Date	Post Mnth	Bank Exp Acct	Payee Ppty	Payee Name Account Name	Amount	Notes
					70280	91010	SECURITY SERVIC	88.20	
								88.20	
303823 449647	85482 10Mar23-12		04/08/10 03/23/10	04/10	ns91010	sha246p	Shaw Cable		01405809417 Mar 23 - 1239 Chartwell
					70530	91010	TELEVISION & CA	157.02	
								157.02	
303824 449564	85483 10Mar7-875		04/08/10 03/07/10	04/10	ns91010	tel757p	TELUS Communica		250-764-8755 Mar 7
					70520	91019	TELEPHONE UTILI	60.07	
								60.07	
303825 449565	85484 10Mar7-783		04/08/10 03/07/10	04/10	ns91010	tel757p	TELUS Communica		250-764-7835 Mar 7
					70520	91019	TELEPHONE UTILI	22.40	
								22.40	
303826 449566	85485 10Mar7-700		04/08/10 03/07/10	04/10	ns91010	tel757p	TELUS Communica		250-764-7000 Mar 7
					70520	91010	TELEPHONE UTILI	55.52	
								55.52	
303827 449638	85486 10Mar21-60		04/08/10 03/21/10	04/10	ns91010	tel757p	TELUS Communica		604-925-6030 Mar 21
					70520	91010	TELEPHONE UTILI	64.44	
								64.44	
303828 449639	85487 10Mar21-33		04/08/10 03/21/10	04/10	ns91010	tel757p	TELUS Communica		604-925-3302 Mar 21
					70520	91010	TELEPHONE UTILI	75.73	
								75.73	
303829 449641	85488 10Mar23-33		04/08/10 03/23/10	04/10	ns91010	tel757p	TELUS Communica		604-925-3300 Mar 23
					70520	91010	TELEPHONE UTILI	223.83	
								223.83	
303830 449642	85489 10Mar21-31		04/08/10 03/21/10	04/10	ns91010	tel757p	TELUS Communica		604-925-3109 Mar 21
					70520	91010	TELEPHONE UTILI	61.27	
								61.27	
303831 449643	85490 10Mar21-31		04/08/10 03/21/10	04/10	ns91010	tel757p	TELUS Communica		604-925-3142 Mar 21
					70520	91010	TELEPHONE UTILI	63.18	
								63.18	
303832 449644	85491 10Mar21-87		04/08/10 03/21/10	04/10	ns91010	tel757p	TELUS Communica		604-925-8700 Mar 21
					70520	91010	TELEPHONE UTILI	63.30	
								63.30	
303833 449645	85492 10Mar23-31		04/08/10 03/23/10	04/10	ns91010	tel757p	TELUS Communica		604-925-3100 Mar 23
					70520	91010	TELEPHONE UTILI	109.81	
								109.81	
303834 449646	85493 10Mar23-35		04/08/10 03/23/10	04/10	ns91010	tel757p	TELUS Communica		604-925-3500 Mar 23
					70520	91010	TELEPHONE UTILI	120.21	
								120.21	
303836 449563	85494 10Mar12		04/08/10 03/13/10	04/10	ns91010	tel895x	Telus Mobility		778-689-6267 Mar 13

**Check Register**  
**ns91010 - Lawrence Kates**  
**April 2010**

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Chk Ctrl# Inv Ctrl#	Check Nu Inv Num	Not Rcn	Chk Date Inv Date	Post Mnth	Bank Exp Acct	Payee Ppty	Payee Name Account Name	Amount	Notes
					70520	91010	TELEPHONE UTILI	41.44	
								41.44	
303837 449568	85495 10Mar26-12		04/08/10 03/04/10	04/10	ns91010	ter666x	Terasen Gas		777134 Mar 4
					70250	91010	GAS UTILITIES	1,279.71	
								1,279.71	
303944 449773	85496 10Mar31-69		04/20/10 03/31/10	04/10	ns91010	for897x	FortisBC Inc.		3418159156-0 Mar 31 - CLOSING
					70160	91020	ELECTRIC UTILITI	546.00	
								546.00	
303945 449906	85497 10Mar31-LK		04/20/10 03/31/10	04/10	ns91010	hol950p	Hollyburn Country C		#24450 Kates dues
					70150	91010	DUES, SUBS, BOO	375.09	
								375.09	
303946 449910	85498 10Mar31-M		04/20/10 03/31/10	04/10	ns91010	hol950p	Hollyburn Country C		49350 Mar dues Mimi
					70150	91010	DUES, SUBS, BOO	240.50	
								240.50	
303949 449907	85499 107284440		04/20/10 03/23/10	04/10	ns91010	rog910p	Rogers Wireless Inc		107284440 Mar 23
					70520	91010	TELEPHONE UTILI	1,908.91	
								1,908.91	
303950 449908	85500 10Mar27-43		04/20/10 03/27/10	04/10	ns91010	tel757p	TELUS Communica		604-421-4311 Mar 27
					70520	91010	TELEPHONE UTILI	67.38	
								67.38	
303951 449911	85501 10Apr1-123		04/20/10 04/01/10	04/10	ns91010	ter666x	Terasen Gas		1302800 Apr 1 - 1239 Chartwell
					70250	91010	GAS UTILITIES	469.03	
								469.03	
303952 449912	85502 10Apr6-124		04/20/10 04/06/10	04/10	ns91010	ter666x	Terasen Gas		777134 Apr 6 - 1243 Chartwell
					70250	91010	GAS UTILITIES	1,221.66	
								1,221.66	
303954 449914	85503 218000-00		04/20/10 03/31/10	04/10	ns91010	van207p	Van-Kel Irrigation		Acct 45145 Kates
					75050	91010	BUILDING REPAIR	89.55	
								89.55	
303955 449909	85504 152651		04/20/10 03/31/10	04/10	ns91010	wes113x	West Coast Pool Se		Mar service - 1243 Chartwell
					70650	91010	POOL MAINT/SUP	173.25	
								173.25	
303956 449913	85505 10Mar31		04/20/10 03/31/10	04/10	ns91010	wes585x	West Vancouver Ya		0203 Kates
					70150	91010	DUES, SUBS, BOO	96.44	
								96.44	
304189 450184	85506 P31028904		04/27/10 03/28/10	04/10	ns91010	sit540x	Site360 Consulting I		ALR lot line adjustment - Mar
					70510	91019	SURVEY, TITLE &	595.35	
								595.35	
304207 450215	85507 10Apr14		04/28/10 04/14/10	04/10	ns91010	bel549p	Bell Canada		163651772 Apr 14

**Check Register**  
**ns91010 - Lawrence Kates**  
**April 2010**

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Chk Ctrl# Inv Ctrl#	Check Nu Inv Num	Not Rcn	Chk Date Inv Date	Post Mnth	Bank Exp Acct	Payee Ppty	Payee Name Account Name	Amount	Notes
					70530	91010	TELEVISION & CA	188.69	
								188.69	
304208 450214	85508 990233647		04/28/10 03/31/10	04/10	ns91010	can656p	Canadian Springs		210081296 Mar 31 - 1243 Chartwell
					70287	91010	HOUSEHOLD EXP	83.80	
								83.80	
304209 450216	85509 10Apr7-124		04/28/10 04/07/10	04/10	ns91010	sha246p	Shaw Cable		01002484592 Apr 7 - 1243 Chartwell
					70530	91010	TELEVISION & CA	141.30	
								141.30	
304210 450229	85510 10Apr-1243		04/28/10 04/07/10	04/10	ns91010	sha246p	Shaw Cable		01002605132 Apr 7 - 1243 Chartwell
					70530	91010	TELEVISION & CA	207.54	
								207.54	
304212 450231	85511 10Apr21-31		04/28/10 04/21/10	04/10	ns91010	tel757p	TELUS Communica		604-925-3109 Apr 21
					70520	91010	TELEPHONE UTILI	61.27	
								61.27	
304213 450232	85512 10Apr21-33		04/28/10 04/21/10	04/10	ns91010	tel757p	TELUS Communica		604-925-3302 Apr 21
					70520	91010	TELEPHONE UTILI	75.73	
								75.73	
304214 450233	85513 10Apr7-783		04/28/10 04/07/10	04/10	ns91010	tel757p	TELUS Communica		250-764-7835 Apr 7
					70520	91019	TELEPHONE UTILI	48.14	
								48.14	
304215 450234	85514 10Apr7-875		04/28/10 04/07/10	04/10	ns91010	tel757p	TELUS Communica		250-764-8755 Apr 7
					70520	91019	TELEPHONE UTILI	61.27	
								61.27	
304216 450235	85515 10Apr7-700		04/28/10 04/07/10	04/10	ns91010	tel757p	TELUS Communica		250-764-7000 Apr 7
					70520	91019	TELEPHONE UTILI	54.99	
								54.99	
304217 450236	85516 10Apr10-96		04/28/10 04/10/10	04/10	ns91010	tel757p	TELUS Communica		604-926-9643 Apr 10
					70520	91010	TELEPHONE UTILI	150.59	
								150.59	
304219 450237	85517 10Apr13-62		04/28/10 04/13/10	04/10	ns91010	tel895x	Telus Mobility		778-689-6267 Apr 13
					70520	91010	TELEPHONE UTILI	42.27	
								42.27	
							Total Checks	46,680.06	

< 25,033.35 >

21,646.71

# Journal Entry Register

## April 2010

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Ctrl# Batch#	Reference Source	Date Post Mo	Book	Ppty Code	Account	Name	Notes	Debit	Credit
91010	Lawrence Kates Canadian Prop.								
	97486 NSCU STM	04/05/10	Both				04-05-10 outgoing wire fee ns		16.30
	NSCU STM	04/10			00345	CIB-NORTHSHORE	04-05-10 outgoing wire fee		16.30
								0.00	
									16.30
						TOTAL		0.00	16.30



138,672.86 CAD  
(CAD = Canadian dollar)

Date:	USD = U.S. dollar (noon)	Exchange rate:
30 Apr 2010	137,082.70 USD	0.9885 (1.0116)

If you have selected a weekend date, the nearest business dates are used instead.

Conversions are based on Bank of Canada "nominal rates", which are neither buying nor selling rates. Rates available from financial institutions will likely differ.

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Debtor **LAWRENCE KATES**Report Mo/Yr **04/2010****UST-23, NON-BUSINESS FINANCIAL ACCOUNT DETAIL**

**INSTRUCTIONS:** Prepare a UST-23 for each non-business financial or brokerage account and attach a copy of the monthly statement (or most recent quarterly statement if a monthly statement not available for that account).

Depository (bank) name	⇒	<b>Royal Bank</b>
Account number	⇒	<b>00010-5604376</b>
Type of account: <input checked="checked" type="checkbox"/> Checking <input type="checkbox"/> Savings <input type="checkbox"/> Investment/brokerage <input type="checkbox"/> IRA/retirement <input type="checkbox"/> Funds held in attorney's trust account <input type="checkbox"/> Other (explain) _____		
		Bank Statement End Date: 04/12/2010
		Amounts in this column are in Canadian Dollars
Beginning cash balance		20,535.88
Add:	Transfers in from other accounts	-
	Loan or financing proceeds deposited to this account (identify source)	-
	Other receipts deposited to this account	-
Total cash available this month		20,535.88
Subtract:	Transfers out to other accounts	-
	Payments from this account (all checks written for the month plus cash withdrawals, if any)	-
Adjustments, if any (explain)	March interest	0.17
Ending cash balance		20,536.05
<b>IMPORTANT:</b> Attach the following supporting documents: A monthly bank statement (or attorney's trust account statement); If applicable, a detailed statement of funds received or disbursed by another party for the debtor		Yes No <input checked="checked" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="checked" type="checkbox"/>

UST-23 FINANCIAL ACCOUNT DETAIL, Number \_\_\_\_\_ of \_\_\_\_\_

UST-23 Continuation Sheet



Royal Bank of Canada  
P.O. Bag Service 2650  
Calgary AB T2P 2M7

# Your RBC personal banking account statement

From March 12, 2010 to April 12, 2010

RBPDA30100\_5830605\_002 E D 012 00010  
LAWRENCE KATES  
1243 CHARTWELL PLACE  
WEST VANCOUVER BC V7S 2S2

17357

Your account number: 00010-5604376

How to reach us: 1-800 ROYAL® 1-1  
(1-800-769-2511)  
[www.rbcroyalbank.com/deposits](http://www.rbcroyalbank.com/deposits)

## Summary of your account for this period

Signature Plus® Sixty-Plus® 00010-5604376

Royal Bank of Canada  
1025 W GEORGIA ST. VANCOUVER, BC V6E 3N9

Your opening balance on March 12, 2010	\$20,535.88
Total deposits into your account	+ 0.17
Total withdrawals from your account	- 0.00
Your closing balance on April 12, 2010	= \$20,536.05

*We can do better together - take the paperless pledge*

*Together, RBC Royal Bank® and our clients can reduce our environment impact by reducing our paper use. We're offering every client who chooses paperless banking options, including electronic statements a chance to win\* an eco-renovation and other great prizes! No purchase necessary. For complete sweepstake rules go to [www.rbc.com/paperless](http://www.rbc.com/paperless)*

## Details of your account activity

Date	Description	Withdrawals (\$)	Deposits (\$)	Balance (\$)
	Opening Balance			20,535.88
1 Apr	Deposit interest		0.17	20,536.05
	Closing Balance			\$20,536.05

Your overdraft limit is \$5,000

Please check this Account Statement without delay and advise us of any error or omission within 45 days of the statement date.

If you opted to receive cheque images, only images of the front of your cheques have been sent to you with this Account Statement. An image included on this Account Statement does not indicate that a cheque has been successfully processed as of the statement date.

Please retain this statement for your records.

\* Registered trade-mark of Royal Bank of Canada. Royal Trust Corporation of Canada and The Royal Trust Company are licensees of the trade-mark.

Royal Bank of Canada GST Registration Number: R105248165

Royal Trust Corporation of Canada GST Registration Number: R10464666

The Royal Trust Company GST Registration Number: R105248261

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**Deposit Register**  
rb91010 - Lawrence Kates  
April 2010

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<u>Number</u>	<u>Date</u>	<u>Memo</u>	<u>Amount</u>
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**Check Register**  
**rb91010 - Lawrence Kates**  
**April 2010**

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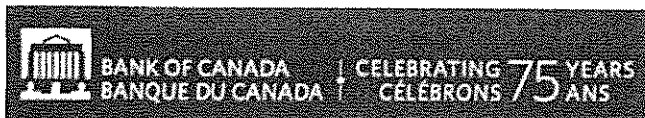
Chk Ctrl#	Check Nu	Not	Chk Date	Post	Bank	Payee	Payee Name	Amount	Notes
Inv Ctrl#	Inv Num	Rcn	Inv Date	Mnth	Exp Acct	Ppty	Account Name		
								0.00	

# Journal Entry Register

## April 2010

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Ctrl# Batch#	Reference Source	Date Post Mo	Book	Ppty Code	Account	Name	Notes	Debit	Credit
91010	Lawrence Kates Canadian Prop.								
	97587 RB STMT	04/01/10	Both				03/2010 Interest Income		
	RB STMT	04/10			00955	CIB-ROYAL BANK	03/2010 Interest Income	0.17	
								0.17	0.00
						TOTAL		0.17	0.00



20,536.05 CAD  
(CAD = Canadian dollar)

Date:

USD = U.S. dollar (noon)

Exchange rate:

30 Apr 2010

20,300.56 USD

0.9885 (1.0116)

If you have selected a weekend date, the nearest business dates are used instead.

Conversions are based on Bank of Canada "nominal rates", which are neither buying nor selling rates. Rates available from financial institutions will likely differ.

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Debtor LAWRENCE KATES

Report Mo/Yr 04/2010

## UST-23, NON-BUSINESS FINANCIAL ACCOUNT DETAIL

**INSTRUCTIONS:** Prepare a UST-23 for each non-business financial or brokerage account and attach a copy of the monthly statement (or most recent quarterly statement if a monthly statement not available for that account).

Depository (bank) name	⇒	<b>Scotia Bank</b>
Account number	⇒	<b>82990 01371 89</b>
Type of account: <input checked="checked" type="checkbox"/> Checking <input type="checkbox"/> Savings <input type="checkbox"/> Investment/brokerage <input type="checkbox"/> IRA/retirement <input type="checkbox"/> Funds held in attorney's trust account <input type="checkbox"/> Other (explain) _____		
		Amounts in this column are in Canadian Dollars
Beginning cash balance		10,109.54
Add:	Transfers in from other accounts	-
	Loan or financing proceeds deposited to this account (identify source)	-
	Other receipts deposited to this account	-
Total cash available this month		10,109.54
Subtract:	Transfers out to other accounts	-
	Payments from this account (all checks written for the month plus cash withdrawals, if any)	-
Adjustments, if any (explain)		-
Ending cash balance		<b>\$9,993.61 USD</b> 10,109.54
<b>IMPORTANT:</b> Attach the following supporting documents:		
A monthly bank statement (or attorney's trust account statement);		Yes No <input type="checkbox"/> <input checked="checked" type="checkbox"/>
If applicable, a detailed statement of funds received or disbursed by another party for the debtor		<input type="checkbox"/> <input checked="checked" type="checkbox"/>

UST-23 FINANCIAL ACCOUNT DETAIL, Number \_\_\_\_\_ of \_\_\_\_\_

UST-23 Continuation Sheet

**Deposit Register**  
sb91010 - Lawrence Kates  
April 2010

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<u>Number</u>	<u>Date</u>	<u>Memo</u>	<u>Amount</u>
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**Check Register**  
**sb91010 - Lawrence Kates**  
**April 2010**

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Chk Ctrl#	Check Nu	Not	Chk Date	Post	Bank	Payee	Payee Name	Amount	Notes
Inv Ctrl#	Inv Num	Rcn	Inv Date	Mnth	Exp Acct	Ppty	Account Name		
								0.00	



10,109.54 CAD (CAD = Canadian dollar)		
<b>Date:</b>	<b>USD = U.S. dollar (noon)</b>	<b>Exchange rate:</b>
30 Apr 2010	9,993.61 USD	0.9885 (1.0116)

If you have selected a weekend date, the nearest business dates are used instead.

Conversions are based on Bank of Canada "nominal rates", which are neither buying nor selling rates. Rates available from financial institutions will likely differ.

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Debtor LAWRENCE KATES

Report Mo/Yr 04/2010

## UST-23, NON-BUSINESS FINANCIAL ACCOUNT DETAIL

**INSTRUCTIONS:** Prepare a UST-23 for each non-business financial or brokerage account and attach a copy of the monthly statement (or most recent quarterly statement if a monthly statement not available for that account).

Depository (bank) name	⇒	<b>Sterling Savings Bank</b>
Account number	⇒	<b>59998135388</b>
Type of account: <input checked="checked" type="checkbox"/> Checking <input type="checkbox"/> Savings <input type="checkbox"/> Investment/brokerage <input type="checkbox"/> IRA/retirement <input type="checkbox"/> Funds held in attorney's trust account <input type="checkbox"/> Other (explain) _____		
Beginning cash balance		12,246.28
Add:	Transfers in from other accounts	-
	Loan or financing proceeds deposited to this account (identify source)	-
	Other receipts deposited to this account	-
Total cash available this month		12,246.28
Subtract:	Transfers out to other accounts	-
	Payments from this account (all checks written for the month plus cash withdrawals, if any)	-
Adjustments, if any (explain)		-
Ending cash balance		12,246.28
<b>IMPORTANT:</b> Attach the following supporting documents:		
A monthly bank statement (or attorney's trust account statement);		Yes No <input checked="checked" type="checkbox"/> <input type="checkbox"/>
If applicable, a detailed statement of funds received or disbursed by another party for the debtor		<input type="checkbox"/> <input checked="checked" type="checkbox"/>

UST-23 FINANCIAL ACCOUNT DETAIL, Number \_\_\_\_\_ of \_\_\_\_\_

UST-23 Continuation Sheet



0062

Statement Period  
04/01/10 - 04/30/10

LAWRENCE KATES  
ITF  
79 TYEE DR  
POINT ROBERTS, WA 98281

Point Roberts  
(360) 945-6161

Save time and money with a Visa ® Check card.

### SUMMARY OF YOUR ACCOUNTS

#### CONSUMER FREE CHECKING

Account #59998135388

<b>Beginning Balance</b>	<b>\$12,246.28</b>
Deposits/Additions	\$0.00
Withdrawals	\$0.00
<b>Ending Balance</b>	<b>\$12,246.28</b>
Low Balance	\$12,246.28
Average Balance	\$12,246.28
Service Charge	\$0.00
Withholding	\$0.00
Interest Earned This Period	\$0.00
Interest Paid Year To Date	\$0.00
<b>Annual Percentage Yield Earned</b>	<b>0.00%</b>

#### Fee Summary

##### **This Period**

NSF Return Items Fees	\$0.00
Overdraft Paid Item Fee	\$0.00

##### **Year To Date**

NSF Return Items Fees	\$0.00
Overdraft Paid Item Fee	\$0.00



Statement Period  
04/01/10 - 04/30/10

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Acct# 59998135388

*No Activity for Checking Account 59998135388*

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**Deposit Register**  
ss91002 - Lawrence Kates  
April 2010

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<u>Number</u>	<u>Date</u>	<u>Memo</u>	<u>Amount</u>
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**Check Register**  
**ss91002 - Lawrence Kates**  
**April 2010**

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Chk Ctrl#	Check Nu	Not	Chk Date	Post	Bank	Payee	Payee Name	Amount	Notes
Inv Ctrl#	Inv Num	Rcn	Inv Date	Mnth	Exp Acct	Ppty	Account Name		
								0.00	

Debtor LAWRENCE KATES

Report Mo/Yr ⇒ 04/2010

<b>UST-24, OTHER FINANCIAL DISCLOSURES</b>
--

**QUESTION 2.1 - SALE OR DISPOSITION OF NON-BUSINESS ASSETS**

Excluding sales of business assets reported on UST-17 (page 10), and sales of investment properties reported on UST-22 (page 12), did you sell, transfer, or otherwise dispose of any estate asset during this reporting month (exclude the sale of any single item of personal property with a value of \$100 or less).

If yes, list the items.

Yes ☐ No ☒

(1) Property Description	(2) Gross sale amount	(3) Net sale proceeds	(4) Proceeds disbursed (col. 2 minus col. 3)	(5) Location of net proceeds
1.				
2.				
3.				
4.				
Totals				

\*If your residence was sold, attach a copy of closing or escrow statement

**\*\*\*IMPORTANT\*\*\***  
 Transfer the total in column 4 to Line 3 of Debtor's Certification - Cover Sheet (page 2).

**THE QUESTIONS BELOW APPLY TO ALL ASPECTS OF THIS CASE**  
**(business operations and real estate and non-business operations)**

**QUESTION 2.2 - INSURANCE RENEWALS & CHANGES**

Did you renew, modify or replace any insurance policy during this reporting month (business, real estate, or non-business)?

If yes, summarize &amp; attach each policy renewal or change

Yes ☐ No ☒

Provider	New Premium	Is this a renewal, modification, or replacement policy ?

Were any insurance policies canceled or otherwise terminated for any reason during the reporting month?

If yes, explain

Provider

Date of Cancellation

Reason for Cancellation




Debtor LAWRENCE KATES

Report Mo/Yr ⇨ 04/2010

**QUESTION 2.3 - POST-PETITION PAYMENTS TO ATTORNEYS AND OTHER PROFESSIONALS**

Did you, or someone on your behalf, make any payments during this reporting month to a professional such as an attorney, accountant, realtor, appraiser, auctioneer, business consultant, or other professional person? (Requires court approval.)

If "Yes", list each payment.

Yes ☐ No ☒

Professional's name	Type of work performed	Payment date	Payment amount	Date of court approval

**QUESTION 2.4 - UNPAID POST-PETITION ATTORNEY AND OTHER PROFESSIONAL FEES & EXPENSES**

What is the amount of unpaid post-petition professional fees and expenses owing at month end? Do not include amounts billed for pre-petition services. If month-end billing statements are not available, use the best information available to estimate the fees and costs.

Type of professional	Amount of retainer (if applicable)	Fees and expenses from prior months	Fees and expenses added this month	Total estimated fees and expenses at month end
Debtor's counsel	0	205,852	30,000	235,852
Debtor's accountant (if any)	0	123,000	9,000	132,000
Special Counsel (if any)	0	135,000	20,000	155,000
Other professional (see below)	0	0	0	0
Total estimated post-petition professional fees and costs				\$ 522,852

**QUESTION 2.5 - PAYMENTS ON PRE-PETITION UNSECURED DEBT (requires court approval)**

Did you, or another party on your behalf, make any payments during this reporting month on pre-petition unsecured debt? If "Yes", list each payment.

Yes ☐ No ☒

Payee's name	Nature of payment	Payment date	Payment amount	Date of court approval

Debtor LAWRENCE KATES

Case Number ⇨ 09-10188-SJS

Report Mo/Yr ⇨ 4/2010

**QUESTION 2.6 - OTHER DISBURSEMENTS** Were any disbursements made on behalf of the debtor\* or by the debtor that are not reported elsewhere on this report? Yes ☐ No ☒

*\*Example: Rent payment made by a tenant directly to a creditor*

Purpose?		Amount \$ _____
Who paid this?	Recipient?	*** IMPORTANT *** Transfer this to <u>Line 4 of Debtor's Certification - Cover Sheet (page 2)</u> .

Attach additional copies of this page as needed

**QUESTION 2.6 - SIGNIFICANT EVENTS & CASE PROGRESS** Explain any significant new developments during the reporting month and your progress toward filing a plan of reorganization.

### Monthly Financial Reports

(due on the 15<sup>th</sup> of the subsequent month)

Original Place of Filing:

Seattle, WA ▼	Tacoma, WA ▼
File the <u>original</u> with the court::  United States Bankruptcy Court United States Courthouse 700 Stewart Street, Suite 6103 Seattle, WA 98101	File the <u>original</u> with the court::  United States Bankruptcy Court 1717 Pacific Avenue, Suite 2100 Tacoma, WA 98402
AND serve a <u>copy</u> on each of the following: <ul style="list-style-type: none"><li>● Each member of any committees elected or appointed pursuant to the Bankruptcy Code, and to their authorized agents.</li><li>● Debtor's counsel.</li></ul>	
NOTE: If the report is electronically filed with the Court, the United States Trustee will be served automatically. There is no need to serve an additional copy on the United States Trustee.	

STATUTORY FEE SCHEDULE		
If the debtor's disbursements for the calendar quarter are within these amounts...		Then the quarterly fee due is...
From	To	
-0-	\$14,999.99	\$325
\$15,000	\$74,999.99	\$650
\$75,000	\$149,999.99	\$975
\$150,000	\$224,999.99	\$1,625
\$225,000	\$299,999.99	\$1,950
\$300,000	\$999,999.99	\$4,875
\$1,000,000	\$1,999,999.99	\$6,500
\$2,000,000	\$2,999,999.99	\$9,750
\$3,000,000	\$4,999,999.99	\$10,400
\$5,000,000	\$14,999,999.99	\$13,000
\$15,000,000	\$29,999,999.99	\$20,000
\$30,000,000 or more		\$30,000

Make check payable to:	For calendar quarter ending...	A fee payment is due on...
United States Trustee	March 31	April 30
Mail quarterly fee payments to:	June 30	July 31
US Trustee Program Payment Center	September 30	October 31
PO Box 70937	December 31	January 31
Charlotte, NC 28272-0937		
Send your payment and quarterly fee payment stub <i>ONLY</i> . Any disbursement stubs, monthly operating reports, correspondence, court notices, etc., sent to the lockbox <i>will be destroyed</i> .		

\*\*\* NOTICE OF INTEREST ASSESSMENT \*\*\*

Pursuant to 31 U.S.C. §3717, the United States Trustee Program will begin assessing interest on unpaid Chapter 11 quarterly fees charged in accordance with 28 U.S.C. §1930(a) effective October 1, 2007. The interest rate assessed is the rate in effect as determined by the Treasury Department at the time your account becomes past due.

NOTICE
DISCLOSURE OF INTENT TO USE TAXPAYER IDENTIFYING NUMBER FOR THE PURPOSE OF COLLECTING AND REPORTING DELINQUENT QUARTERLY FEES OWED TO THE UNITED STATES TRUSTEE PURSUANT TO 28 U.S.C. § 1930(a)(6)
Please be advised that, pursuant to the Debt Collection Improvements Act of 1996, Public Law 104-134, Title III, § 31001(i)(3)(A), 110 Stat. 1321-365, codified at 31 U.S.C. § 3701, the United States Trustee intends to use the debtor's Taxpayer Identification Number ("TIN") as reported by the debtor or debtor's counsel in connection with the chapter 11 bankruptcy proceedings for the purpose of collecting and reporting on any delinquent debt, including chapter 11 quarterly fees, that are owed to the United States Trustee.
The United States Trustee will provide the debtor's TIN to the Department of Treasury for its use in attempting to collect overdue debts. Treasury may take the following steps: (1) submit the debt to the Internal Revenue Service Offset Program so that the amount owed may be deducted from any payment made by the federal government to the debtor, including but not limited to tax refunds; (2) report the delinquency to credit reporting agencies; (3) send collection notices to the debtor; (4) engage private collection agencies to collect the debt; and, (5) engage the United States Attorney's office to sue for collection. Collection costs will be added to the total amount of the debt.